

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
12 December 2002 (12.12.2002)

PCT

(10) International Publication Number  
**WO 02/098952 A1**

(51) International Patent Classification<sup>7</sup>: **C08G 69/10, A61K 9/127**

(21) International Application Number: **PCT/EP02/06783**

(22) International Filing Date: **3 June 2002 (03.06.2002)**

(25) Filing Language: **English**

(26) Publication Language: **English**

(30) Priority Data:  
**01202107.7 1 June 2001 (01.06.2001) EP**

(81) Designated States (*national*): AE, AG, AL, AU, BA, BB, BG, BR, BZ, CA, CN, CO, CR, CU, CZ, DM, DZ, EC, EE, GD, GE, HR, HU, ID, IL, IN, IS, JP, KP, KR, LC, LK, LR, LT, LV, MA, MG, MK, MN, MX, NO, NZ, OM, PH, PL, RO, SG, SI, SK, TT, UA, US, UZ, VN, YU, ZA.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(71) Applicant (*for all designated States except US*): **YAMANOUCHI EUROPE B.V. [NL/NL]**; Elisabethhof 19, NL-2350 EW Leiderdorp (NL).

(72) Inventors; and

(75) Inventors/Applicants (*for US only*): **METSELAAR, Josbert, Maarten [NL/NL]**; Dept. of Pharmaceutics, Faculty of Pharmacy, Utrecht Institute of Pharmaceutical Sciences, P.O. Box 80082, NL-3508 TB Utrecht (NL). **HENNINK, Wilhelmus, Everardus [NL/NL]**; Dept. of Pharmaceutics, Faculty of Pharmacy, Utrecht Institute of Pharmaceutical Sciences, P.O. Box 80082, NL-3508 TB Utrecht (NL). **DE VRINGER, Tom [NL/NL]**; Yamanouchi Europe B.V., P.O. Box 108, NL-2350 AC Leiderdorp (NL). **DE BOER, Leonardus, Wilhelmus, Theodorus [NL/NL]**; Yamanouchi Europe B.V., P.O. Box 108, NL-2350 AC Leiderdorp (NL). **OUSSOREN, Christien [NL/NL]**; Dept. of Pharmaceutics, Faculty of Pharmacy, Utrecht Institute of Pharmaceutical Sciences, P.O. Box 80082, NL-3508 TB Utrecht (NL). **STORM, Gerrit [NL/NL]**; Dept. of Pharmaceutics, Faculty of Pharmacy, Utrecht Institute of Pharmaceutical Sciences, P.O. Box 80082, NL-3508 TB Utrecht (NL). **BRUIN, Peter [NL/NL]**; Dept. of Pharmaceutics, Faculty of Pharmacy, Utrecht Institute of Pharmaceutical Sciences, P.O. Box 80082, NL-3508 TB Utrecht (NL).

(74) Common Representative: **YAMANOUCHI EUROPE B.V.**; Dept. Legal Affairs Europe, P.O. Box 108, NL-2350 AC Leiderdorp (NL).

**Declarations under Rule 4.17:**

- *as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii)) for the following designations AE, AG, AL, AU, BA, BB, BG, BR, BZ, CA, CN, CO, CR, CU, CZ, DM, DZ, EC, EE, GD, GE, HR, HU, ID, IL, IN, IS, JP, KP, KR, LC, LK, LR, LT, LV, MA, MG, MK, MN, MX, NO, NZ, OM, PH, PL, RO, SG, SI, SK, TT, UA, UZ, VN, YU, ZA, ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)*
- *of inventorship (Rule 4.17(iv)) for US only*
- *of inventorship (Rule 4.17(iv)) for US only*
- *of inventorship (Rule 4.17(iv)) for US only*
- *of inventorship (Rule 4.17(iv)) for US only*
- *of inventorship (Rule 4.17(iv)) for US only*
- *of inventorship (Rule 4.17(iv)) for US only*

**Published:**

- *with international search report*
- *before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments*

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(54) Title: **LIPID-POLYMER-CONJUGATES COMPOSITIONS**

(57) Abstract: Colloidal carrier compositions, comprising an active agent and a lipid conjugate of a poly-(amino acid), a poly-(amino acid derivative) or poly-(amino acid analogue), such as poly-[N-(2-hydroxyethyl)-glutamine] (PHEG), are provided.



WO 02/098952 A1

5

## LIPID-POLYMER-CONJUGATES COMPOSITIONS

The present invention relates to colloidal carrier compositions, comprising an active ingredient and a lipid-polymer-conjugate.

10

## BACKGROUND OF THE INVENTION

Colloidal carrier compositions include vesicular bilayer systems, such as liposomes, niosomes and reversed vesicles, micellar systems, nanocapsules, nanospheres etc.. A well-known representative of such colloidal carrier compositions is formed by liposomes. Although hereinafter especially liposomes are mentioned, the reader should bear in mind that the discussions, disclosures and teachings relate to other colloidal carrier compositions as well.

Liposomes, which belong to the group of colloidal carrier particles, are small vesicles consisting of one or more concentric lipid bilayers enclosing an aqueous space. Because of their structural versatility in terms of size, surface charge, lipid composition, bilayer fluidity and because of their ability to encapsulate almost every drug, their importance as drug delivery systems was readily appreciated. However, on intravenous injecting of liposomes, these are recognised as foreign particles by the Mononuclear Phagocyte System (MPS) and rapidly cleared from the circulation to organs rich in phagocytic cells, like liver, spleen and bone marrow. Several possibilities to reduce this effect have been identified, such as decreasing the particle size of the liposomes and changing the surface charge of the liposomes. Another development relates to surface modification of the liposomes by the introduction of specific hydrophilic polymeric components on the liposomal surface, which groups reduce protein adsorption on the particle surface. Consequently such liposomes are protected against recognition by cells of the MPS and have a prolonged residence time in the general circulation. A well-known example of modification of the liposomal surface is the incorporation during the preparation of liposomal compositions of a lipid derivative of the hydrophilic polymer polyethylene glycol (PEG). Usually this polymer is terminus-modified with a hydrophobic moiety, which is the residue of a phosphatidyl ethanolamine derivative or a long-chain fatty acid. Polyethylene glycol per se is a rather stable polymer, which is a repellent of protein adhesion and which is not subject to enzymatic or hydrolytic degradation

under physiological conditions. Good results with respect to extending plasma half life and diminishing accumulation into the organs rich in phagocytic cells have been obtained following intravenous administration of liposomes, having a PEG-grafted surface, to various animal species and also to human beings (Storm G., Belliot S.O., Daemen T. and Lasic D.D.: Surface modification of nanoparticles to oppose uptake by the mononuclear phagocyte system in *Adv. Drug Delivery Rev.* 17, 31-48, (1995); Moghimi S.M., Hunter A.C. and Murray J.C.: Long-circulating and target-specific nanoparticles; theory to practice in *Pharmacol. Rev.* 53, 283-318, (2001); Boerman O.C., Dams E.T., Oyen W.J.G., Corstens F.H.M. and Storm G.: Radiopharmaceuticals for scintigraphic imaging of infection and inflammation in *Inflamm. Res.* 50, 55-64, (2001)). Marketing approvals for such liposomal preparations, containing doxorubicine, have been obtained.

Meanwhile several disadvantages of the use of the polymer polyethylene glycol in long-circulating liposomes have been encountered. The accumulation of PEG-grafted liposomes in macrophages and the skin is of some concern due to non-biodegradability. Loss of the long-circulation property (fast clearance) on injecting PEG-liposomes for a second time has been observed (Dams E.T., Laverman P., Oijen W.J., Storm G., Scherphof G.L., Van der Meer J.W., Corstens F.H. and Boerman O.C.: Accelerated blood clearance and altered biodistribution of repeated injections of sterically stabilized liposomes in *J. Pharmacol. Exp. Ther.* 292, 1071-1079, (2000)). Recent studies with PEG-liposomes in patients have shown that PEG-liposomes can induce acute side effects (facial flushing, tightness of the chest, shortness of breath, changes in blood pressure), which resolve immediately when the administration (infusion) of the PEG-liposome formulation is terminated. Recent data point to a role of complement activation in the induction of side effects (Szebeni J., Baranyi L., Savay S., Lutz H., Jelezarova E., Bunger R. and Alving C.R.: The role of complement activation in hypersensitivity to Pegylated liposomal doxorubicin (Doxil) in *J. Liposome Res.* 10, 467-481, (2000)). Until now the commercially available preparations based on PEG-liposomes are aqueous suspension preparations. It is well-known that the shelf life of liposomal aqueous suspension preparations in general and also of PEG-liposomes is rather limited. Several techniques how to remove the vehicle or continuous phase of such preparations are known, such as, spray-drying, diafiltration, rotational evaporation etc., and preferably freeze-drying. Recently a freeze-drying method, which improved the long term shelf life of PEG-liposomes, containing the technetium-chelator hydrazino nicotinamide, was proposed (Laverman P., van Bloois L., Boerman O.C., Oyen

W.J.G., Corstens F.H.M. and Storm G.: Lyophilisation of Tc-99m-HYNIC labelled PEG-liposomes in J. Liposome Res. 10(2&3), page 117-129 (2000)), but further investigations into the results and applicability of this technique to liposomal preparations are required.

The disadvantages inherent to the use of polyethylene glycol urged investigators to look for alternative polymers. Many polymers have been suggested as suitable candidates for derivatising them with (vesicle-forming) lipids for incorporation into liposomes (see e.g. EP-0688207). The hydrophilic water soluble polymers poly(vinylpyrrolidone), poly(acryloylmorpholine), poly(2-(m)ethyl-2-oxazoline), polyacrylamide and polyglycerol have shown to prolong the circulation time of liposomes after intravenous administration to a certain extent. However, until now such lipid-polymer conjugates have not been applied in commercially available drug preparations, mainly because they have not shown any advantages over the known lipid-PEG-conjugates. Therefore there still is a need to find a polymer, which can be derivatised with a lipid to enable incorporation into colloidal carrier compositions, such as liposomes, such polymer having long-circulating properties and in addition thereto having advantages over PEG, such as biodegradability.

#### SUMMARY OF THE INVENTION

Colloidal carrier compositions are provided, which comprise an active agent and a lipid-polymer conjugate, obtainable from an amphiphilic lipid, consisting of at least one hydrophobic apolar moiety and a hydrophylic polar head group, and a polymer or a monomeric precursor therefor, the polymer being a poly-(amino acid), a poly-(amino acid derivative) or a poly-(amino acid analogue).

#### LEGENDS TO THE FIGURES

Figure 1 is a graphical representation of the mean values for the calculated percentage injected dose in blood samples versus time for PEG-DSPE-containing liposomal preparations, having a different amount of Total Lipid (example 25).

Figure 2 is a graphical representation of the mean values for the calculated percentage injected dose in blood samples versus time for PHEA-DODASuc-containing liposomal preparations, having a different amount of Total Lipid (example 25).

Figure 3 is a graphical representation of the percentage encapsulated prednisolone phosphate in PEG-DSPE and PHEA-DODASuc, respectively, containing liposomal preparations versus time (example 26).

Figure 4 is a graphical representation of the concentration of prednisolone phosphate encapsulated in PEG-DSPE and PHEA-DODASuc containing liposomes in blood versus time (example 27).

Figure 5 is a graphical representation of the paw inflammation score versus time before and after a single intravenous injection of saline and prednisolone phosphate-containing liposomes (coated with PEG-DSPE and PHEA-DODASuc respectively) (example 27).

Figure 6 is a graphical representation of the percentage injected dose of liposomes found in liver, spleen and liver+spleen after intravenous administration of liposomes, containing as the lipid-polymer-conjugates PEG-DSPE, PHEG-diaminobutane DODASuc, PHPG diaminobutane DODASuc, PHBG diaminobutane DQDASuc and PHEA-DODASuc respectively, and conventional liposomes (BARE) (Example 24).

#### DETAILED DESCRIPTION OF THE INVENTION

The term active agent as used herein is to be understood as being a therapeutically active agent, a biologically active agent, a physiologically active agent, a prophylactic agent and a diagnostic agent, including imaging agents and radio-actively labeled compounds, which can be included into the colloidal carrier compositions in an amount sufficient to obtain the desired effect. These agents are of use in both humans and animals. Examples of therapeutically active agents are corticosteroids, anti-tumour agents etc. The imaging agents include compounds in the gaseous state, such as oxygen, and radio-actively labeled excipients, such as  $^3\text{H}$ -cholesteryl-oleylether. According to the present invention the active agents are not chemically bound to the amphiphilic lipid-polymer-conjugates.

The amphiphilic lipid-polymer-conjugates in the compositions of the present invention are obtainable from an amphiphilic lipid and a polymer or a monomeric precursor therefor.

The amphiphilic lipids to be used in the lipid-polymer conjugate according to the invention may be selected from a variety of synthetic or naturally occurring lipids, consisting of at least one hydrophobic apolar tail and a hydrophilic polar head group, such as vesicle-forming lipids and membrane lipids.

An important feature of the amphiphilic lipid to be used in the lipid-polymer conjugate is that the lipid contains a functional group at its polar head group suitable for covalent attachment to a polymer chain. The polar head group is for example a primary or secondary amine group, a hydroxyl group, an aldehyde group, a halide or a carboxylic group. The

hydrophobic moiety of the lipid enables the incorporation of the lipid-polymer conjugates into bilayer structures, such as liposomes and acts as an anchor.

Examples of amphiphilic lipids are phospholipids, glycolipids, ceramides, cholesterol and derivatives, saturated or partially unsaturated, branched or straight-chain C<sub>8</sub>-C<sub>50</sub> mono- or di-alkylamines, arylalkylamines, cycloalkylamines, alkanols, aldehydes, carbohalides or  
5 alkanolic acids and the anhydrides thereof.

More specifically, examples of suitable amphiphilic lipids are phosphatidyl choline, phosphatidyl ethanolamine, phosphatidyl inositol, sphingomyeline, stearylamine, myristylalcohol, cholesterol and palmitic acid.

10 A preferred amphiphilic lipid in the lipid-polymer-conjugate is a lipid having two hydrophobic chains, typically alkyl chains, and a polar head group, containing a functional group, as described above. Phosphatidyl ethanolamine derivatives and in particular distearyl phosphatidyl ethanolamine, are such preferred phospholipids since they contain a reactive amino group.

15 Further preferred amphiphilic lipids have as the hydrophilic polar head group a primary or secondary amine and two saturated or unsaturated C<sub>8</sub>-C<sub>50</sub> branched or straight chain hydrophobic apolar moieties. Examples thereof are 1-heptadecyloctadecylamine and distearylamine-containing compounds, such as distearylamine and N-succinyl-dioctadecylamine (DODASuc).

20 The polymer part of the lipid-polymer-conjugates of the present invention is formed by a poly-(amino acid), a poly-(amino acid derivative) or a poly-(amino acid analogue). A poly-(amino acid derivative) is a polymer, which consists of amino acid monomers, to which one or more substituents are attached. An example thereof is poly(2-hydroxyethyl)-L-glutamine. A poly-(amino acid analogue) as herein disclosed is a polymer, wherein the  
25 carbon atom chain length of the amino acid monomers is reduced or prolonged. Examples thereof are poly(-homoserine) and poly(pentahomoserine).

The polymer is a homo-polymer, consisting of monomers that are the same throughout the polymer chain. It is also possible that the polymer part consists of block co-polymers selected from the group consisting of poly-(amino acid), poly-(amino acid derivative) and  
30 poly-(amino acid analogue) or that the polymer part is formed by a series of alternating monomers or a controlled order of monomers or by random polymerisation of suitable monomers selected from the group consisting of one or more amino acids, amino acid

derivatives and amino acid analogues. The polymers may be linear or branched and include graft polymers, but preferably are linear.

Useful amino acids are the naturally occurring  $\alpha$ -amino acids. However also  $\beta$ -amino acids as well as nonprotein or non-naturally occurring amino acids have appeared to be of interest.

5 Both the L- and the D-configuration of the amino acids and derivatives can be used. When the amino acid sequence of the polymer in the lipid-polymer-conjugate is formed by residues of the L-amino acid, the resulting polymer will be subject to enzymatic degradation. On the other hand, when the amino acid sequence of the polymer in the lipid-polymer-conjugate of this invention is formed by the D-amino acid, the resulting polymer is likely to be stable  
10 towards peptide-degrading enzymes. Also mixtures of the L- and D-amino acids can be used. Taking into account the different properties of the polymers, surface-modification of colloidal carrier particles, in which the lipid-polymer-conjugates of the invention are incorporated, can be adjusted by selective use of the L- and/or D-form of the starting materials for preparation of the conjugates.

15 An important property of the poly-(amino acid), poly-(amino acid derivative) and poly-(amino acid analogue) compounds, which are suitable for incorporation into the lipid-polymer-conjugates of the present invention, is that they are soluble in water (at least 1 part in 100 parts of water, preferably 1 part in 30 parts of water and most preferably 1 part in 10 parts of water or less). The polymers can also be characterised by their  $\chi$ -parameter in water.  
20 This polymer-solvent interaction parameter can be determined by e.g. membrane-osmometry. The polymers which can be advantageously used in the lipid-polymer conjugates according to this invention have a  $\chi$ -parameter of  $\leq 0.65$ , preferably  $\leq 0.5$  in water.

A further important feature of the polymers is that they contain no substantial amount of  
25 charged groups within a (physiological) pH-range of 4-8. Preferably neutral amino acid monomers or amino acid analogue monomers are used in the preparation of the polymers or amino acid derivative monomers, which are neutral or have been neutralised. As it appeared charged groups can be allowed to be present in a low percentage without disturbing the long-circulating properties of the colloidal carrier compositions according to the present  
30 invention. As has been demonstrated for co-polymers of 2-hydroxyethyl-L-glutamine and charged monomers, positively charged groups can be allowed to be present in a larger percentage than negatively charged groups,.

Suitable monomers for the preparation of the polymer are amongst others alanine, threonine, valine,  $\alpha$ -aminoadipic acid,  $\alpha,\gamma$ -diaminobutyric acid derivatives, ornithine, glutamine and derivatives, including glutamic acid, asparagine and derivatives, including aspartic acid, lysine derivatives, methionine and derivatives, serine, its derivatives and analogues with  
5 additional  $\text{CH}_2$ -groups, such as homoserine and pentahomoserine. Suitable side-groups include the ( $\text{C}_1$ - $\text{C}_4$ )-alkyl, hydroxyalkyl, dihydroxyalkyl, acid amides and aryl groups or combinations thereof, provided that the polymer remains water soluble. Examples of these groups are 2-hydroxyethyl, 3-hydroxypropyl, 4-hydroxybutyl and 2,3-dihydroxypropyl. Polymers which can be used are e.g. poly(D,L-serine) (PDLS), poly(2-hydroxyethyl)-D,L-  
10 glutamine (PDLHEG), poly(2-hydroxybutyl)-L-glutamine (PHBG) and the copolymer poly(HEG-co-glutamic acid) 1% glutamic acid (PHEG1%GA). Preferred polymers are poly(D,L-glutamine) (PDLG), poly(D,L-asparagine) (PDLA), poly(hydroxypropyl)-L-glutamine (PHPG), poly(2-hydroxypropyl)-L-glutamine (P2HPG) and the copolymers of  
15 beta-alanine and 2-hydroxyethyl-L-glutamine (PbAHEG), poly(HEG-co-dimethylaminoethyl-glutamine) containing 5 and 1% dimethylaminoethyl side groups (PHEG5%DG and PHEG1%DG). More preferred polymers are the homopolymers poly-[N-(2-hydroxyethyl)-L-glutamine] (PHEG), poly(2-hydroxyethyl)-L-asparagine (PHEA) and poly(D,L-methioninesulfoxide) (PDLMS).

The polymer chain contains between 5 and 500 monomer subunits, preferably between 20  
20 and 100. The mean molecular weight of the polymer varies from 500 to 75,000, preferably from 2,000 to 15,000. The mean molecular weight can be assessed in different ways as known in the art. In the examples of the present application an estimate of the molecular weight has been made based on NMR-data.

For the preparation of the lipid-polymer-conjugates incorporated into the compositions of  
25 the present invention manufacturing methods have preferably been used, wherein reactive groups in the side chains of the amino acid monomers were protected prior to polymerisation and coupling of the lipid.

The lipid-polymer-conjugates can be prepared according to methods known in the art. A well-known method to prepare polymers of amino acids involves the ring opening  
30 polymerisation of the corresponding amino acid N-carboxy-anhydride (NCA)s, optionally provided with one or more protective groups, initiated by nucleophiles such as ( $\text{C}_1$ - $\text{C}_4$ ) alkyl primary amines. Another method to obtain the lipid-polymer-conjugates comprises the use of an amine with a protected functional group, for instance N-Boc-1,4-diaminobutane, as the



initiator in the ring opening NCA polymerisation. Although two extra steps, namely deprotection of the functional group and subsequently coupling to a lipid with a reactive group, are required in this process, the lipid-polymer-conjugates prepared by this method are also suitable for incorporating into the colloidal carrier compositions of this invention.

- 5 If the amphiphilic lipid is a C<sub>8</sub>-C<sub>50</sub> branched or straight-chain mono- or di-alkyl, -hydroxyalkyl or -alkylene amine, an alkanol or a ceramide, this can be advantageously used as the initiator in the ring opening polymerisation process. This means that during the polymerisation the amphiphilic lipid is coupled to the polymer in one step. The molecular weight of the poly-amino acids strongly depends on the solvent or the combination of  
10 solvents, on the purity of the chemicals used and on the ratio of monomer/polymerisation initiator. Generally speaking, the higher the ratio monomer/polymerisation initiator, the higher the molecular weight of the polymer will be.

When a polymer of pre-defined composition should be prepared, the solid phase peptide synthesis method is preferably used.

- 15 Protective groups present in the repeating units of the polymer can be removed by aminolysis using an amino-alcohol such as 2-aminoethanol, 3-aminopropanol or 2,3-dihydroxypropylamine.

For example the preparation of PHEG with an incorporated stearylamine end group (hereinafter referred to as PHEG-stearylamine) consists of the following steps only: the N-  
20 carboxyanhydride of  $\gamma$ -benzyl-L-glutamate (BLG) was polymerised by adding stearylamine in a suitable solvent and the resulting polyBLG-stearylamine was converted into PHEG-stearylamine by subsequent aminolysis using 2-aminoethanol. By using stearylamine as initiator in two different solvents two batches of PBLG-stearylamine with a different molecular weight were obtained, which after aminolysis resulted in 2 batches of PHEG-  
25 stearylamine with 2 different molecular weights. The first polymerisation in ethylacetate/dichloromethane gave PHEG-stearylamine with a molecular weight of 6,000 to 9,000. The second polymerisation in dimethylformamide gave PHEG-stearylamine with a molecular weight of 2,000 to 3,500.

The colloidal carrier compositions of the invention include vesicular bilayer systems,  
30 such as liposomes, niosomes and reversed vesicles, micellar systems, nanocapsules, nanospheres etc.. Preferred colloidal carrier systems are the vesicular bilayer systems.

On preparing liposomes the lipid-polymer-conjugate according to the invention is mixed with components, normally used in the preparation of liposomes, such as vesicle-forming

lipids, stabilisers etc.. The conjugate is included at a molar concentration sufficient to extend the blood circulation time of the liposomes several fold over that of corresponding liposomes lacking the polymer-lipid conjugate. The polymer conjugate is typically included at 1-15 mole percent, preferably at 3-10 mole percent and most preferably at 5-7.5 mole percent.

The average size of the liposomes, to be determined by Dynamic Light Scattering (DLS) techniques, is below 200 nm, preferably below 150 nm and most preferably below 100 nm. The lower limit for this type of colloidal carrier particles is 20 nm.

The polymer-lipid-conjugates, when incorporated into charged liposomes, showed the ability to reduce the zeta-potential, thus demonstrating that the polymer grafting shielded the surface charge.

The compositions can be administered in several ways, but parenteral administration is preferred. Dependent on the active ingredient and on the medical indication or disorder to be treated, administration can be done by intravenous, subcutaneous, intramuscular, intraperitoneal, intra-articular etc. injection.

After intravenous administration of liposomal preparations in accordance with the present invention to rats it has been shown that the blood circulation time of the liposomes can be varied in accordance with the desired purpose. The blood circulation time is dependent on the lipid-polymer-conjugate used, in particular on the choice of the lipid/polymer combination, the molecular weight of the polymer and the grafting density. Results similar to those obtained with the corresponding PEG-grafted liposomes have been observed e.g. for lipid-PHEG-conjugates, lipid-PHEA-conjugates and lipid-PDLMS-conjugates, wherein the amphiphilic lipid contains a double hydrophobic tail (PHEG-diaminobutane DODASuc, PHEA-DODASuc and PDLMS-DODASuc).

The stability of liposomal preparations, prepared with the lipid-polymer-conjugates in accordance with the present invention, is generally improved as compared to that of conventional liposomal preparations. In addition thereto the stability of the liposomal preparations can be further improved by the proper selection of the lipid-polymer-conjugate. It will be appreciated that this selection is also dependent on the choice of the active agent. E.g. encapsulation of a water soluble derivative of a corticosteroid instead of the corticosteroid per se into a liposomal preparation will result in an increased stability of the liposomal preparation. Encapsulation of prednisolone phosphate into a polyhydroxyethylasparagine-DODASuc-conjugate-containing liposome gave a slightly

better result than incorporation into a poly(2-hydroxyethyl)-L-glutamine-diaminobutane DODASuc-conjugate-containing liposome. A further improvement of the stability can be reached by removing the aqueous vehicle from the liposomal composition by methods well-known in the art, such as spray-drying, freeze-drying, rotational evaporation etc..

5       The lipid-polymer conjugates, incorporated into the colloidal carrier compositions according to the present invention, provide long-circulating properties to these compositions. Under long-circulating properties is to be understood an increase in blood circulation time of the colloidal carrier composition, as compared with such composition, not containing the lipid-polymer-conjugate. The long-circulating properties can be determined according to  
10       methods known in the art (Torchilin VP, Shilman MI, Trubetskoy VS, Whiteman K, Milstein AM.: Amphiphilic vinyl polymers effectively prolong liposome circulation time in vivo. *Biochimica et Biophysica Acta* (1994) 1195: 181-184; Torchilin VP, Trubetskoy VS, Whiteman KR, Caliceti P, Ferruti P, Verones FM.: New synthetic amphiphilic polymers for steric protection of liposomes in vivo. *Journal of pharmaceutical sciences* (1995) 84 (9):  
15       1049-1053). For liposomes a method has been provided in the examples. Therefore, such compositions and especially the vesicular ones, can be used for a variety of applications. Except as a circulating drug reservoir, they can be used for passive targeting to sites of pathology (tumours, infection, inflammation) and for active targeting to cells in the bloodstream, to endothelium (e.g. to angiogenesis-related receptors), e.g. by coupling to  
20       homing devices, such as monoclonal antibodies. Further applications may be an artificial oxygen delivery system, blood-pool imaging and an anti-fouling coating for biomaterials, such as catheters and blood vessel prostheses.

In addition thereto the lipid-polymer-conjugates are biodegradable and therefore provide a lot of advantages, in particular due to the fact that there is no risk of accumulation in cells of  
25       the human or animal body.

Further the lipid-polymer-conjugates have shown that there is a reduced lipid-dose dependency as compared with PEG-liposomes.

Another additional, but very important advantage may be that an increased clearance after second injection of the compositions according to the invention is not always observed and  
30       that the reduction in blood circulation time is moderate. This would mean a significant advantage as compared to colloidal carrier compositions, coated with PEG.

The colloidal carrier compositions according to the present invention provide a variety of possibilities for use in therapy, diagnosis, prophylaxis etc.. Due to the versatility of the

lipid-polymer-conjugates, the components of which can be selected in accordance with the purpose, and of the variety of colloidal carrier systems from which one can choose, it will be readily apparent that in general it will appear possible for every active agent to design an appropriate colloidal carrier composition. If in first instance after intravenous administration  
5 of compositions according to the invention no or only a slight effect on the blood circulation time is observed, the person skilled in the art can vary a lot of different parameters in the lipid-polymer-conjugate (e.g. molecular weight, drafting density, polymer, lipid etc.) and in the composition of the colloidal carrier to increase the circulation time according to the standard set.. A very interesting effect is seen when compositions according to the invention  
10 contain a water soluble corticosteroid as the active agent. In an in vivo experimental arthritis model one single intravenous injection of such composition has appeared to be as effective as repeated injections of the non-encapsulated corticosteroid compound or when encapsulated in conventional liposomes. Interesting water soluble corticosteroids are budesonide phosphate and water soluble derivatives of flunisolide and fluticasone  
15 propionate. The favourable effects may be a complete and long-lasting remission of arthritis-associated symptoms, whilst the side-effects associated with corticosteroid-based therapy will be reduced, due to a reduction in the amount of corticosteroids that has to be administered and because corticosteroids, which normally show a fast clearance from the blood, can now be used. Also in other diseases, in which corticosteroids are the drugs of  
20 choice or are used as co-therapy, the beneficial effects of the compositions according to the present invention will be readily recognised. However, also other active agents show interesting effects in the compositions of the invention.

Although the foregoing invention has been described in some detail by way of illustration and example for purposes of clarity and understanding, it will be readily apparent  
25 to those of ordinary skill in the art in the light of the teachings of this invention that certain changes and modifications may be made thereto without departing from the scope of the appended claims.

The following examples further illustrate the invention.

## EXAMPLES

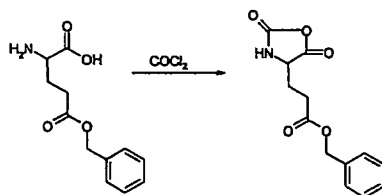
**Example 1****Poly-( $\gamma$ -Benzyl-L-glutamate) having a stearylamine end group (PBLG-stearylamine)**5 **1.1  $\gamma$ -benzyl-L-glutamate N-carboxy anhydride**

The commercially available  $\gamma$ -benzyl-L-glutamic acid was converted into the *N*-carboxy anhydride as described by William D. Fuller et al, (Biopolymers 1976, 15(9), 1869-71) as follows:

7.0 g  $\gamma$ -Benzylglutamic acid (29.5 mmol) was suspended in 70 ml of dry tetrahydrofuran  
 10 and 22.4 ml of a 20% solution of phosgene in toluene was added at once. After stirring under nitrogen for 90 minutes at 65 °C the solution was cooled down to room temperature and subsequently added to 150 ml of petroleum ether 40/60. Then the mixture was put in a freezer overnight. The white crystalline product was filtered off and washed with petroleum ether 40/60. After drying, the product was recrystallised twice from tetrahydrofuran and  
 15 petroleum ether 40/60.

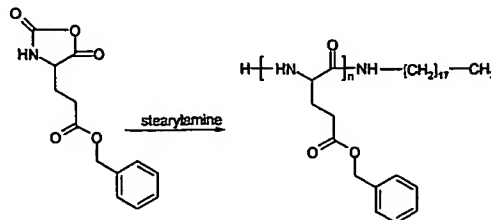
Yield: 6.0 g (77%), melting point: 95-97 °C.

*Reaction:*

**1.2. (PBLG-stearylamine)**

20 By using the primary amine, stearylamine, as initiator in two different solvents two batches of PBLG-stearylamine having different molecular weights were synthesised.

*Reaction:*



Example solvent ethylacetate/dichloromethane.

500 mg (1.9 mmol) of  $\gamma$ -Benzyl-L-glutamate N-carboxy anhydride was dissolved in a mixture of 0.5 ml dry ethylacetate and 3 ml of dry dichloromethane. Subsequently 0.255 ml  
5 of a 0.37 molar solution (0.095 mmol) of stearylamine in dichloromethane was added. The flask was equipped with a  $\text{CaCl}_2$  tube and the mixture was stirred under nitrogen during 24 hours at room temperature. Then the mixture was added dropwise to methanol, the precipitated polymer was filtered off and dried in vacuo.

Yield: 376 mg PBLG-stearylamine

10  $^1\text{H-NMR}$  ( $\text{CDCl}_3$ ) analysis showed that stearyl was present in the product:  $\text{CH}_3$  signal at  $\delta$  0.9 (t) and  $\text{CH}_2$  signal at  $\delta$  1.3-1.2. Other NMR results:

$\delta$  7.4-7.2  $\text{C}_6\text{H}_5$ ,  $\delta$  5.0  $\text{CH}_2\text{C}_6\text{H}_5$ ,  $\delta$  4.1-3.8  $\alpha\text{CH}$ , 2.7-1.8  $\text{CH}_2\text{CH}_2$ .

Maldi TOF ms:

$m/z$  2045 (n=8), 2263 (n=9), 2482 (n=10), corresponding to the masses of the sodium adduct  
15 (23 Da) with an incorporated stearylamine (269 Da) group. (Mass of the repeating benzylglutamic acid unit:  $n \times 219$  Da).

$m/z$  1499 (n=5), 1719 (n=6), 1938 (n=7), 2156 (n=8), 2375 (n=9), 2594 (n=10) corresponding to the masses of the sodium adduct with an incorporated stearylamine group and a cyclic peptide end group (112 Da).

20

Example solvent dimethylformamide.

To 500 mg (1.9 mmol) of  $\gamma$ -benzyl-L-glutamate N-carboxy anhydride in 4 ml of dry dimethylformamide was added 0.255 ml of a 0.37 molar solution (0.095 mmol) of stearylamine in dichloromethane. The flask was equipped with a  $\text{CaCl}_2$  tube and the mixture  
25 was stirred under nitrogen during 24 hours at room temperature. Then the mixture was added dropwise to methanol, the precipitated polymer was filtered off and dried in vacuo.

Yield: 272 mg PBLG-stearylamine.

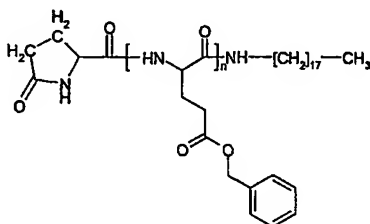
The  $^1\text{H-NMR}$  ( $\text{CDCl}_3$ ) spectrum was almost identical to the previous one. The stearyl signals were also present in the product:  $\text{CH}_3$  signal at  $\delta$  0.9 (t) and  $\text{CH}_2$  signal at  $\delta$  1.3-1.2.

30 Maldi TOF ms:

$m/z$  1826 (n=7) 2045 (n=8), 2263 (n=9), corresponding to the masses of the sodium adduct having a stearylamine group.

$m/z$  1719 ( $n=6$ ), 1938 ( $n=7$ ), 2156 ( $n=8$ ), 2375 ( $n=9$ ), 2594 ( $n=10$ ) corresponding to the masses of the sodium adduct having a stearylamine group and a cyclic peptide end group.

*Structure PBLG-stearylamine with a cyclic peptide end group:*

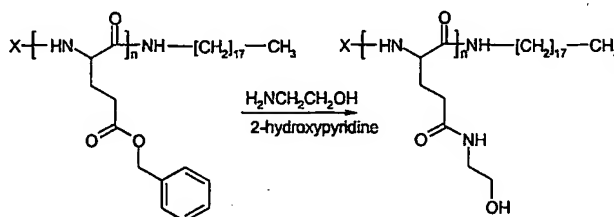


5

### Example 2

#### Poly-[N-(2-hydroxyethyl)-L-glutamine] having a stearylamine end group (PHEG-stearylamine) and a molecular weight of 6,000-9,000

PHEG-stearylamine was synthesized by the aminolysis of PBLG-stearylamine using 2-aminoethanol and 2-hydroxypyridine (2-HP) (Literature: A. de Marre et al, Polymer 1994, 35(11), 2443-2446) according the following reaction: (X =H or cyclic peptide):



287 mg (1.3 mmol) of PBLG-stearylamine, prepared as described in example 1 (solvent ethylacetate/dichloromethane), and 25 mg of 2-HP were dissolved in 3.8 ml of dimethylformamide and 1.63 ml of 2-aminoethanol was added. After stirring for 24 hour at 40 °C under nitrogen the solution was added to a mixture of ether and ethanol (4:1). The product was filtered off and dried in vacuum. Yield: 152 mg.

Finally the polypeptide was dissolved in water and purified by dialysis for 4 days in dialysis tube MWCO 2,000.

Yield: 80 mg.

$^1\text{H}$  NMR ( $\text{D}_2\text{O}$ ):  $\delta$  4.2-4.1  $\alpha\text{CH}$ ,  $\delta$  3.6-3.4  $\text{CH}_2\text{OH}$ ,  $\delta$  3.2-3.1  $\text{CH}_2\text{NH}$ ,  $\delta$  2.3-2.1  $\gamma\text{CH}_2$ ,  $\delta$  2.0-1.8  $\beta\text{CH}_2$ ,  $\delta$  1.2-1.0, 16  $\text{CH}_2$  stearylamine,  $\delta$  0.8-0.6  $\text{CH}_3$  stearylamine.

On account of the intensity of the stearyl signals in the NMR spectrum a molecular weight of 6,000-9,000 was calculated.

20

Maldi TOF ms:

Mass of the repeating hydroxyethylglutamic acid unit:  $n \times 172$ .

- 5  $m/z$  920 ( $n=3$ ), 1092 ( $n=4$ ), 1436 ( $n=6$ ), 1608 ( $n=7$ ), 1780 ( $n=8$ ), 1953 ( $n=9$ ), 2124 ( $n=10$ )  
corresponding to the masses of the sodium adduct having a stearylamine (269 Da) group and  
a cyclic peptide (112 Da) end group.

$m/z$  1108 ( $n=4$ ), 1452 ( $n=6$ ), corresponding to the masses of the potassium adduct (39 Da)  
having a stearylamine (269 Da) group and a cyclic peptide (112 Da) end group.

10

### Example 3

#### Poly-[N-(2-hydroxyethyl)-L-glutamine] having a stearylamine end group (PHEG- stearylamine) and a molecular weight of 2,000-3,500

- By applying the same aminolysis method as mentioned in example 2, 209 mg of PBLG-  
15 stearylamine prepared as described in example 1 (solvent dimethylformamide) gave 49 mg  
of PHEG-stearylamine.

$^1\text{H}$  NMR ( $\text{D}_2\text{O}$ ): identical to PHEG-stearylamine as prepared in example 2, with the  
exception that the intensity of the stearyl signals was somewhat higher in example 3.

- On account of the intensity of the stearyl signals in the NMR spectrum a molecular weight  
20 of 2,000-3,500 was calculated.

Maldi TOF ms:

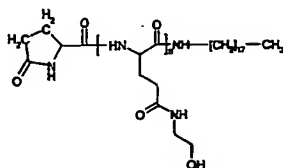
$m/z$  1092 ( $n=4$ ), 1264 ( $n=5$ ), 1436 ( $n=6$ ), 1608 ( $n=7$ ), 1780 ( $n=8$ ), 1953 ( $n=9$ ), 2125 ( $n=10$ )  
corresponding to the masses of the sodium adduct having a stearylamine (269 Da) group and  
a cyclic peptide (112 Da) end group.

- 25  $m/z$  1108 ( $n=4$ ), 1280 ( $n=5$ ), 1452 ( $n=6$ ), 1624 ( $n=7$ ), 1796 ( $n=8$ ), 1969 ( $n=9$ ), 2141 ( $n=10$ ),  
corresponding to the masses of the potassium adduct having a stearylamine (269 Da) group  
and a cyclic peptide (112 Da) end group.

On account of the Maldi TOF ms and NMR results the following structure was proposed:

*Structure PHEG-stearylamine:*

30





**Example 4****Poly( $\gamma$ -benzyl-L-glutamate) having a heptadecyloctadecylamine end group (PBLG-heptadecyloctadecylamine)**

0.94 g (3.6 mmol)  $\gamma$ -benzyl-L-glutamate N-carboxyanhydride (NCA) was dissolved in 5 ml dry DMF under a nitrogen atmosphere. A solution of 25 mg (0.05 mmol) 1-heptadecyloctadecylamine (Sigma Aldrich) in 1 ml dry chloroform was added at once. Almost immediately gas bubbles ( $\text{CO}_2$ ) were formed. The solution was stirred for 1 day at room temperature and then precipitated into a 10-20 fold excess of water. The white precipitate was collected and dried in vacuo. Yield: 0.75 g.

Characterization:

$^1\text{H-NMR}$  in  $\text{CDCl}_3$  ( $\delta$  in ppm relative to solvent peak):

Benzylglutamate: 7.2 ( $\text{C}_6\text{H}_5$ ), 5.0 (benzylic  $\text{CH}_2$ ), 3.9 ( $\alpha\text{-CH}$ ), 2.8 & 2.2 ( $\beta$  &  $\gamma$   $\text{CH}_2$ ),

Alkyl chain: 1.2 ( $\text{CH}_2$  alkyl chain), 0.85 ( $\text{CH}_3$ )

**Example 5****Poly(N-(2-hydroxyethyl)-L-glutamine) having a heptadecyloctadecylamine end group (PHEG-heptadecyloctadecylamine)**

To a solution of 0.60 g PBLG-heptadecyloctadecylamine (see above) in 5 ml dry DMF was added 0.15 g 2-hydroxypyridine and 0.8 ml ethanolamine. This solution was stirred for 3 days at room temperature under a nitrogen atmosphere. The solution was precipitated into a 10-20 fold excess of diethylether. The product was collected and dried in vacuo. The water-soluble polymeric product was dialysed against water in cellulose ester dialysis tubes (MWCO 500) for 2 days. Purified PHEG having the heptadecyloctadecyl end group was obtained after freeze-drying. Yield: 0.30 g.

Characterization:

$^1\text{H-NMR}$  in  $\text{DMSO-d}_6$  ( $\delta$  in ppm relative to solvent peak):

Hydroxyethylglutamine: 4.1 ( $\alpha\text{-CH}$ ), 2.2 & 1.8-1.9 ( $\beta$  &  $\gamma$   $\text{CH}_2$ ), 3.4 ( $\text{CH}_2\text{-OH}$ ), 3.1 ( $\text{CH}_2\text{-NH}_2$ ),

Alkyl chain: 1.2 ( $\text{CH}_2$  alkyl chain), 0.8 ( $\text{CH}_3$ ).

From the ratio of integrals of the stearyl signals and the  $\alpha\text{-CH}$  signal the PHEG molecular weight was estimated to be 12,000.

**Example 6****Poly-[N-(2-hydroxyethyl)-L-glutamine] having a distearylamine end group introduced via N-Boc-1,4-diaminobutane as initiator (PHEG-diaminobutane-DODASuc)**

2.5 g (9.5 mmol)  $\gamma$ -benzyl-L-glutamate N-carboxyanhydride was dissolved in a mixture of 2.5 ml of dry ethylacetate and 12.5 ml of dry dichloromethane, and 0.95 ml (0.95 mmol) of a 1 molar solution of N-Boc-1,4-diaminobutane in dichloromethane as initiator was added. The mixture was stirred for 3 days under nitrogen at room temperature and then precipitated in methanol. Yield: 1.48 g PBLG-N-Boc-diaminobutane.

The  $^1\text{H-NMR}$  ( $\text{CDCl}_3$ ) spectrum showed N-Boc-1,4-diaminobutane signals at  $\delta$  1.4-1.3.

Maldi TOF ms:

Mass of the repeating benzylglutamic acid unit:  $n \times 219$ .

$m/z$  1417( $n=5$ ), 1636 ( $n=6$ ), 1855 ( $n=7$ ), 2074 ( $n=8$ ), corresponding to the masses of the sodium adduct with an N-Boc-1,4-diaminobutane (187 Da) group and a cyclic peptide end group (112 Da).

$m/z$  1433( $n=5$ ), 1652 ( $n=6$ ), 1872 ( $n=7$ ) corresponding to the masses of the potassium adduct with an N-Boc-1,4-diaminobutane (187 Da) group and a cyclic peptide end group (112 Da).

Deprotection of PBLG-N-Boc-diaminobutane.

738 mg of PBLG-N-Boc-diaminobutane was stirred during 3.5 hours in 5 ml of a solution of 4N HCl in dioxane. Subsequently the reaction mixture was evaporated on a Rotavap. The residue was dissolved in 5 ml of tetrahydrofuran and added dropwise to 80 ml of a  $\text{NaHCO}_3$  solution (6.5 g in water). The product was filtered off, washed with water and dried in vacuum. Yield: 677 mg PBLG-diaminobutane.

$^1\text{H-NMR}$  ( $\text{CDCl}_3$ ) showed that the protective group was successfully removed.

Maldi TOF mass analysis showed the desired molmasses.

Mass of the repeating benzylglutamic acid unit:  $n \times 219$ .

$m/z$  1318( $n=5$ ), 1537 ( $n=6$ ), 1756 ( $n=7$ ), 1976 ( $n=8$ ), corresponding to the masses of the sodium adduct with a 1,4-diaminobutane (87 Da) group and a cyclic peptide end group (112 Da).

Coupling to DODASuc:

62 mg (0.1 mmol) of N-succinyl-di-octadecylamine (DODASuc, Schmitt et al, J. Am. Chem. Soc. 1994, 116, 19, 8485-8491), 13.7 mg (0.12 mmol) of N-hydroxysuccinimide and 0.66 mg of dimethylaminopyridine (DMAP) were dissolved in 2 ml of dichloromethane. After cooling to 0 °C 24.6 mg (0.12 mmol) of N,N'-dicyclohexylcarbodiimide (DCC) was added.

5 The solution was stirred for 1 hour at 0 °C and overnight at room temperature. Then the insoluble dicyclohexylurea was filtered off, and the filtrate was added to a solution of 200 mg PBLG-diaminobutane in 3 ml of dichloromethane and 14 µl of triethylamine. After stirring overnight at room temperature the solution was added dropwise to methanol, filtrated and dried. Yield: 135 mg. The <sup>1</sup>H-NMR (CDCl<sub>3</sub>) spectrum showed that distearyl

10 was present, CH<sub>2</sub> signals at δ 1.4-1.2 and CH<sub>3</sub> signals at δ 0.9-0.8.

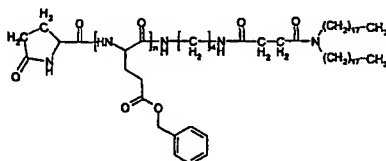
Maldi TOF mass analysis showed the desired molmasses indicating that DODASuc was coupled to PBLG-diaminobutane.

*m/z* 1922 (n=5), 2141 (n=6), 2360 (n=7), 2580 (n=8), 2799 (n=9), 3018 (n=10).

corresponding to the masses of the sodium adduct of PBLG-diaminobutane-DODASuc, with

15 a cyclic peptide end group (112 Da).

*Structure:*



*Aminolysis.*

120 mg of PBLG-diaminobutane DODASuc and 10.8 mg of 2-HP were dissolved in 1.3 ml of dimethylformamide and 0.68 ml of 2-aminoethanol was added. After stirring for 24 hours

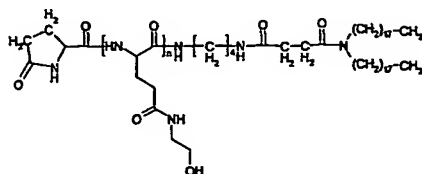
20 at 40 °C under nitrogen the solution was added dropwise to chloroform. The product was filtered off and dried in vacuum. Yield: 83 mg PHEG-diaminobutane-DODASuc.

<sup>1</sup>H-NMR (DMSO) showed distearyl signals at δ 1.2-1.4 (CH<sub>2</sub>) and δ 0.8-0.9 (CH<sub>3</sub>).

From the ratio of integrals of the stearyl signals and the α-CH signal the PHEG molecular

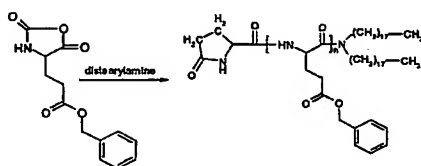
25 weight was estimated to be 4,000.

*Structure:*



**Example 7****Poly-[N-(2-hydroxyethyl)-L-glutamine] having an amine end group introduced by distearylamine as initiator (PHEG-distearylamine)**

1.0 g (3.8 mmol)  $\gamma$ -benzyl-L-glutamate N-carboxyanhydride was dissolved in a mixture of 1 ml dry ethylacetate and 5 ml of dry chloroform. Subsequently 2 ml (0.19 mmol) of a solution of 163 mg distearylamine in 3.26 ml of chloroform was added. The flask was equipped with a  $\text{CaCl}_2$  tube and the mixture was stirred under nitrogen during 4 days at room temperature. The mixture was added dropwise to methanol, the polymer was isolated by filtration and dried in vacuo. Yield: 757 mg PBLG-distearylamine.

**Reaction:**

$^1\text{H-NMR}$  ( $\text{CDCl}_3$ ) analysis showed the distearyl signals in the product:  $\text{CH}_3$  signal at  $\delta$  0.9 (t) and  $\text{CH}_2$  signal at  $\delta$  1.3-1.2.

**Maldi TOF ms:**

Mass of the repeating benzylglutamic acid unit:  $n \times 219$ .

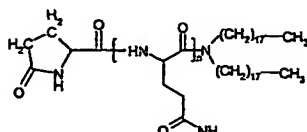
$m/z$  1971 ( $n=6$ ), 2190 ( $n=7$ ), 2410 ( $n=8$ ), 2629 ( $n=9$ ), 2848 ( $n=10$ ) corresponding to the masses of the sodium adduct with a distearylamine (521 Da) group and a cyclic peptide end group (112 Da).

$m/z$  2206 ( $n=7$ ), 2427 ( $n=8$ ), corresponding to the masses of the potassium adduct with a distearylamine (521 Da) group and a cyclic peptide end group (112 Da).

**Aminolysis**

Aminolysis of the above prepared PBLG-distearylamine (600 mg) with aminoethanol and 2-hydroxypyridine as catalyst in dimethylformamide gave 430 mg of PHEG-distearylamine.

$^1\text{H-NMR}$  ( $\text{DMSO-d}_6$ ) showed the distearylamine signals.

**Structure:**

**Example 8****Poly-[N-(hydroxyalkyl)-L-glutamine] having a diaminobutane DODASuc endgroup**

## 5 PBLG-diaminobutaneBOC:

To a solution of 3 g benzyl-L- $\gamma$ -glutamate N-carboxyanhydride (NCA) in 8 ml dry DMF was added a solution of 0.1 g N-BOC-1,4-diaminobutane in 1 ml of chloroform. Formation of gas (carbon dioxide) was noticed during the first hours. This solution was stirred for 1 day under a nitrogen atmosphere at room temperature. After precipitation into ca. 100 ml  
10 methanol the polymer was filtered off and dried, yielding 2 g PBLG containing a BOC-protected amino group.

$^1\text{H-NMR}$  ( $\text{CDCl}_3$ ) ( $\delta$  relative to solvent peak):

BOC: 1.4 ( $\text{CH}_3$ )

PBLG: 2.2 & 2.6 ( $\beta, \gamma\text{-CH}_2$ ), 4.0 ( $\alpha\text{-CH}$ ), 5.0 (benzyl  $\text{CH}_2$ ), 7.3 (phenyl)

15

PBLG-diaminobutane (removal protective BOC group):

A solution of 1.1 g PBLG-diaminobutaneBOC in 5 ml 4N HCl/dioxane was stirred for 3-4 hours and then added dropwise to ca. 80 ml  $\text{NaHCO}_3$  solution (6.5 g in water). The product was filtered off, washed with water and dried in vacuo. Yield: 1 g PBLG-  
20 diaminobutane.

$^1\text{H-NMR}$  ( $\text{CDCl}_3$ ) ( $\delta$  relative to solvent peak):

PBLG: 2.2 & 2.6 ( $\beta, \gamma\text{-CH}_2$ ), 4.0 ( $\alpha\text{-CH}$ ), 5.0 (benzyl  $\text{CH}_2$ ), 7.3 (phenyl)

BOC signals absent

## 25 PBLG-diaminobutane DODASuc (DCC coupling):

170 mg N-succinyl-dioctadecylamine (DODASuc), 90 mg DCC and 10 mg 4-(dimethyl-amino)pyridinium 4-toluene sulphonate (DPTS) were dissolved in 4 ml dichloromethane. The solution was stirred for 1 hour at room temperature. A solution of 0.73 g PBLG-diaminobutane and 40  $\mu\text{l}$  triethylamine in 3 ml chloroform was added. After stirring  
30 overnight at room temperature the solution (containing dicyclohexylurea precipitate) was added dropwise to an excess of methanol (ca. 100 ml). The polymeric product was filtered off and dried. Yield: 0.5 g.

$^1\text{H-NMR}$  ( $\text{CDCl}_3$ ) ( $\delta$  relative to solvent peak):

distearyl signals at 0.8-0.9 ( $CH_3$ ) and 1.2-1.4 (methylene protons)

PBLG: 2.2 & 2.6 ( $\beta,\gamma-CH_2$ ), 4.0 ( $\alpha-CH$ ), 5.0 (benzyl  $CH_2$ ), 7.3 (phenyl)

### **8.1 PHEG-diaminobutane DODASuc**

- 5 PHEG-diaminobutane DODASuc was obtained by aminolysis of PBLG-diaminobutane DODASuc with ethanolamine as follows:

0.5 g PBLG-diaminobutane DODASuc and 15 mg 2-hydroxypyridine were dissolved in 4 ml DMF. Then 2 ml ethanolamine was added. After stirring for 24 hours at 40 °C under a nitrogen atmosphere the solution was precipitated into ca. 100 ml diethylether. PHEG-  
10 diaminobutane DODASuc was dissolved in water, dialyzed (MWCO 500) and subsequently freeze-dried yielding 0.35 g purified PHEG diaminobutane DODASuc conjugate.

$^1H$ -NMR (DMSO- $d_6$ ) ( $\delta$  relative to solvent peak):

distearyl signals at 0.8-0.85 ( $CH_3$ ) and 1.2-1.5 (methylene protons)

PHEG: 1.7-2.2 ( $\beta,\gamma-CH_2$ ), 3.1 & 3.3 (hydroxyethyl), 4.2 ( $\alpha-CH$ ), 4.7 (OH), 7.8 & 8.2 (NH)

- 15 From the ratio of the integrals of the distearyl signals and the  $\alpha-CH$  signal the PHEG molecular weight was calculated to be ca. 4000.

Maldi-TOF confirms the molecular structure of the PHEG-diaminobutane DODASuc conjugate.

- $Na^+$ -adduct:  $m/z$  3064.5 ( $n=13$ ), 3236.1 ( $n=14$ ), 3408.7 ( $n=15$ ), 3580.6 ( $n=16$ ), 3752.9  
20 ( $n=17$ ), 3924.7 ( $n=18$ ), 4096.7 ( $n=19$ ), 4268.4 ( $n=20$ ), 4441.1 ( $n=21$ ), 4613.3 ( $n=22$ ), 4785.1 ( $n=23$ ), etc.

### **8.2 Poly-[(2-hydroxypropyl)-L-glutamine] diaminobutane DODASuc**

- Poly-[(2-hydroxypropyl)-L-glutamine] diaminobutane DODASuc was obtained by  
25 aminolysis of PBLG-diaminobutane DODASuc with 2-propanolamine (isopropanolamine) as follows:

0.15 g PBLG-diaminobutane DODASuc and 0.05 g 2-hydroxypyridine were dissolved in 4 ml DMF. Then 1 ml 2-propanolamine was added. After stirring for 24 hours at 40 °C under nitrogen atmosphere the solution was precipitated into ca. 100 ml diethylether. 0.1 g  
30 PHisoPG-diaminobutane DODASuc was obtained after drying. Polymer was dissolved in water, dialyzed (MWCO 500) and subsequently freeze-dried yielding purified poly-[(2-hydroxypropyl)-L-glutamine] diaminobutane DODASuc.

<sup>1</sup>H-NMR (DMSO-d<sub>6</sub>) (δ relative to solvent peak):

distearyl signals at 0.8-0.85 (CH<sub>3</sub>) and 1.2-1.5 (methylene protons)

PHPG: 1.7-2.2 (β,γ-CH<sub>2</sub>), 1.0 (CH<sub>3</sub>) & 3.0 & 3.3 & 3.7 (hydroxypropyl), 4.2 (α-CH), 4.7 (OH), 7.8 & 8.2 (NH).

- 5     Calculated molecular weight: ca. 4000.

### **8.3 Poly-[(3-hydroxypropyl)-L-glutamine] diaminobutane DODASuc**

Poly-[(3-hydroxypropyl)-L-glutamine] diaminobutane DODASuc was obtained by aminolysis of PBLG-diaminobutane DODASuc with 3-propanolamine:

- 10     0.3 g PBLG-diaminobutane DODASuc and 0.1 g 2-hydroxypyridine were dissolved in 4 ml DMF. Then 2 ml 3-propanolamine was added. After stirring for 24 hours at 40 °C under nitrogen atmosphere the solution was precipitated into ca. 100 ml diethylether. 0.25 g PHPG5000-diaminobutane DODASuc was obtained after drying. Polymer was dissolved in water, dialyzed (MWCO 500) and subsequently freeze-dried yielding purified poly-[(3-
- 15     hydroxypropyl)-L-glutamine] diaminobutane DODASuc.

<sup>1</sup>H-NMR (DMSO-d<sub>6</sub>) (δ relative to solvent peak):

distearyl signals at 0.8-0.85 (CH<sub>3</sub>) and 1.2-1.5 (methylene protons)

PHPG: 1.7-2.2 (β,γ-CH<sub>2</sub>), 1.5 & 3.1 & 3.3 (hydroxypropyl), 4.2 (α-CH), 4.6 (OH), 7.8 & 8.2 (NH).

- 20     Molecular weight: ca. 5000.

Maldi-TOF:

Na<sup>+</sup>-adduct: *m/z* 3623 (n=15), 3810 (n=16), 3996 (n=17), 4182 (n=18), 4368 (n=19), 4555 (n=20), etc.

### **8.4 Poly-[(4-hydroxybutyl)-L-glutamine] diaminobutane DODASuc**

Poly-[(4-hydroxybutyl)-L-glutamine] diaminobutane DODASuc was obtained by aminolysis of PBLG-diaminobutane DODASuc with 4-butanolamine:

- 0.3 g PBLG-diaminobutane DODASuc and 0.1 g 2-hydroxypyridine were dissolved in 4 ml DMF. Then 2 ml 4-butanolamine was added. After stirring for 48 hours at 40 °C under
- 30     nitrogen atmosphere the solution was precipitated into ca. 100 ml diethylether. Polymer was dissolved in water, dialyzed (MWCO 500) and subsequently freeze-dried yielding 0.2 g purified poly-[(4-hydroxybutyl)-L-glutamine] diaminobutane DODASuc.

<sup>1</sup>H-NMR (DMSO-d<sub>6</sub>) (δ relative to solvent peak):

distearyl signals at 0.8-0.85 (CH<sub>3</sub>) and 1.2-1.5 (methylene protons)

PHBG: 1.7-2.2 (β,γ-CH<sub>2</sub>), 1.4 & 3.1 & 3.3 (hydroxybutyl), 4.2 (α-CH), 4.5 (OH), 7.8 & 8.2 (NH).

5 Molecular weight: ca. 4000

#### **8.5 Poly-[(2,3-dihydroxypropyl)-L-glutamine] diaminobutane DODASuc**

Poly-[(2,3-dihydroxypropyl)-L-glutamine] diaminobutane DODASuc was obtained by aminolysis of PBLG-diaminobutane DODASuc with 2,3-dihydroxypropylamine:

10 0.15 g PBLG-diaminobutane DODASuc and 0.06 g 2-hydroxypyridine were dissolved in 3 ml DMF. Then 1 ml 2,3-dihydroxypropylamine was added. After stirring for 1 day at 40 °C under nitrogen atmosphere the solution was precipitated into ca. 100 ml diethylether. Polymer was dissolved in water, dialyzed (MWCO 500) and subsequently freeze-dried yielding 0.1 g purified poly-[(2,3-dihydroxypropyl)-L-glutamine] diaminobutane DODASuc.

15 <sup>1</sup>H-NMR (DMSO-d<sub>6</sub>) (δ relative to solvent peak):

distearyl signals at 0.8-0.85 (CH<sub>3</sub>) and 1.2-1.5 (methylene protons)

Poly(dihydroxypropyl)G: 1.7-2.2 (β,γ-CH<sub>2</sub>), 3.1 & 3.3-3.6 (dihydroxypropyl), 4.2 (α-CH), 4.6 & 4.8 (OH), 7.8 & 8.2 (NH).

Molecular weight: ca. 4000.

20

#### **Example 9**

##### **Cholesteryl-PHEG**

To a solution of 0.2 g PBLG-NH<sub>2</sub> and 20 μl triethylamine in 2 ml chloroform was added a solution of 0.07 g cholesteryl chloroformate in 1 ml chloroform. The solution was stirred for  
25 ca. one hour at room temperature and then precipitated into diethyl ether. After collecting and drying 0.13 g polymeric product was obtained.

Aminolysis with ethanolamine (2-hydroxypyridine in the role of catalyst) for 1 day at 40 °C yielded cholesteryl-PHEG. The polymeric product was purified by dialysis (MWCO 500).

<sup>1</sup>H-NMR (DMSO-d<sub>6</sub>) (δ relative to solvent peak):

30 PHEG: 1.7-2.2 (β,γ-CH<sub>2</sub>), 3.1 & 3.3 (hydroxyethyl), 4.2 (α-CH), 4.7 (OH), 7.8 & 8.2 (NH)  
cholesteryl: 0.6-1.6.

Molecular weight: ca. 4000.



Maldi TOF confirms the molecular structure of the cholesteryl-PHEG conjugate

Na<sup>+</sup>-adduct:  $m/z$  3046 ( $n=14$ ), 3218 ( $n=15$ ), 3390 ( $n=16$ ), 3562 ( $n=17$ ), 3735 ( $n=18$ ), 3907 ( $n=19$ ), 4080 ( $n=20$ ), etc.

## 5 Example 10

### Poly(2-hydroxyethyl)-DL-glutamine diaminobutane DODASuc

The synthesis is analogous to that of poly(2-hydroxyethyl)-L-glutamine diaminobutane DODASuc (example 8), however differing in a few details:

10  $\gamma$ -Benzyl-DL-glutamine NCA was synthesized from a 1:1 mixture of  $\gamma$ -benzyl-L- and  $\gamma$ -benzyl-D-glutamate and crystallized from ethylacetate/hexane (ca. 1:5) (see example 1).

Poly(benzyl-DL-glutamine) diaminobutane BOC was precipitated into water instead of methanol.

Poly(benzyl-DL-glutamine) diaminobutane DODASuc was precipitated into methanol.

15 NMR spectrum is virtually identical to that of poly(2-hydroxyethyl)-L-glutamine diaminobutane DODASuc (example 8.1).

Molecular weight: ca. 3000.

## Example 11

### 20 PHEG copolymers: poly(HEG-co-glutamic acid) diaminobutane DODASuc; 5% glutamic acid

A solution of 0.14 g PBLG diaminobutane DODASuc, 0.05 g 2-hydroxypyridine, ca. 1 ml ethanolamine in 1.5 ml DMF was stirred under a nitrogen atmosphere for one day at room temperature. The solution was then precipitated into diethylether. The product, partially ethanolamine-aminolyzed PBLG diaminobutane DODASuc (PHEG with 5% benzyl ester  
25 side groups), was collected and dried. NMR recorded in DMSO revealed the presence of 5% unreacted benzyl groups.

Said polymer was dissolved in 8.5 ml 1 M NaOH and stirred for 4 hours. The solution was neutralized with 1 N HCl, and then dialyzed (MWCO 500) for a few days. The negatively charged (at physiological pH) copolymer-lipid-conjugate (0.1 g) was obtained after freeze-  
30 drying the dialyzed solution.

NMR in DMSO showed full conversion of benzyl groups.

MaldiTOF was used to confirm the presence of both glutamic acid and hydroxyethylglutamine repeating units.

Molecular weight: ca. 3500.

### Example 12

PHEG copolymer: poly(HEG-co-dimethylaminoethylglutamine) diaminobutane  
5 DODASuc; 5 % dimethylaminoethyl sidegroups

A solution of 0.25 g PBLG diaminobutane DODASuc, 0.08 g 2-hydroxypyridine, and 1 ml ethanolamine in 2.5 ml DMF was stirred under a nitrogen atmosphere for two days at room temperature. The resulting solution was precipitated into diethylether. The partially ethanolamine-aminolyzed PBLG diaminobutane DODASuc (PHEG with 5 % benzyl ester  
10 side groups) was collected and dried. NMR recorded in CDCl<sub>3</sub> revealed the presence of 5 % unreacted benzyl groups.

A solution of 0.16 g of this partially ethanolamine-aminolyzed PBLG diaminobutane DODASuc, 0.06 g 2-hydroxypyridine, 1 ml N,N-dimethylethylenediamine in 2.5 ml DMF was stirred for 1 day under a nitrogen atmosphere at 40 °C. Precipitation into diethylether  
15 gave a powder that was collected and dried in vacuo. NMR in DMSO showed full conversion of the remaining benzyl groups. Product was dissolved in water and dialyzed (MWCO 500) for a few days and subsequently freeze-dried. Yield: 0.1 g of positively charged PHEG copolymer-lipid-conjugate.

Molecular weight: ca. 4000.

20

### Example 13

Poly(2-hydroxyethyl)-L-asparagine (PHEA) having a stearylamine and  
heptadecyloctadecylamine end group, respectively

β-benzyl-L-aspartate N-carboxyanhydride (NCA):

25 A suspension of 5 g β-benzyl L-aspartate in 50 ml distilled THF containing ca. 16 ml of a 20 % phosgene solution in toluene was heated at 60-65 °C (stream of nitrogen gas over the solution). After ca. 10 minutes a clear solution was obtained. After ca. 1.5 hours the solution was slowly poured into ca. 140 ml n-hexane. Crystals were formed almost immediately. After further crystallization during one night at -20 °C the NCA crystalline product was  
30 isolated. Further crystallizations from THF/hexane and from hot chloroform yielded 4.3 g fine needles. (Biopolymers 1976, 15(9) 1869-71).

<sup>1</sup>H-NMR (CDCl<sub>3</sub>) (δ relative to solvent peak):

benzyl group: 7.3 (Phenyl), 5.1 (CH<sub>2</sub>)

aspartate NCA: 2.8 & 3.0 ( $\beta$ -CH<sub>2</sub>), 4.5 ( $\alpha$ -CH), 6.4 (NH)

stearyl-PBLA:

To a solution of 0.95 g  $\beta$ -benzyl L-aspartate NCA in 2 ml DMF was added a solution of  
5 0.04 g stearylamine in 0.5 ml chloroform. After stirring for several hours at 60 °C the cloudy  
solution was precipitated into methanol. After drying 0.56 g poly(benzyl L-aspartate)  
stearylamine was obtained.

heptadecyl octadecyl-PBLA:

10 To a solution of 0.5 g  $\beta$ -benzyl L-aspartate NCA in 2 ml DMF was added 0.1 g 1-heptadecyl  
octadecylamine in ca. 1 ml chloroform. After stirring for 3 days at room temperature the  
cloudy solution was precipitated into methanol. Yield: 0.2 g polymeric product PBLA-  
heptadecyl octadecyl amine.

15 stearyl-/heptadecyl octadecyl-PHEA:

Aminolysis of the above PBLA-conjugates using ethanolamine and 2-hydroxypyridine as a  
catalyst at 40 °C for 1 day, followed by precipitation into diethyl ether, yields the water-  
soluble poly(hydroxyethyl) L-asparagine (PHEA), containing a stearyl or a heptadecyl  
octadecyl tail respectively. The lipid-polymer-conjugates were purified by dialysis (MWCO  
20 500).

stearyl-PHEA:

Maldi TOF: Na<sup>+</sup> adduct  $m/z$  2823 ( $n=16$ ), 2981 ( $n=17$ ), 3139 ( $n=18$ ), 3297 ( $n=19$ ), 3455  
( $n=20$ ), 3613 ( $n=21$ ), etc.

From Maldi TOF it was concluded that each PHEA chain contains a free amino end group.

25 heptadecyl octadecyl-PHEA:

NMR (DMSO-d<sub>6</sub>) ( $\delta$  relative to solvent peak):

heptadecyl octadecyl: 0.8 & 1.2

PHEA: 2.2-2.6 ( $\beta$ -CH<sub>2</sub>), 3.1 & 3.4 (hydroxyethyl), 4.5 (OH +  $\alpha$ -CH), 7.8 & 8.3 (NH)

Molecular weights: ca. 6000.

30

#### **Example 14**

#### **Poly(2-hydroxyethyl)-L-asparagine DODASuc**

**PBLA DODASuc:**

To a solution of 1.7 g  $\beta$ -benzyl L-aspartate N-carboxyanhydride (NCA) in 5 ml DMF was added 0.2 ml of a 2 M solution of methylamine in THF. The clear solution was stirred for one day and then precipitated into a mixture of methanol (ca. 100 ml) and water (250 ml).

- 5 Yield 1.3 g PBLA, containing a methyl amide and an amino end group.

A solution of 0.4 g PBLA, 30 mg DCC, 10 mg DPTS and 100 mg N-succinyl-distearylamine in 5 ml DMSO and 1 ml chloroform was stirred for one day and then precipitated into water. Polymeric product was stirred/washed with diethyl ether and dried.

10 **PHEA DODASuc**

Aminolysis of PBLA DODASuc with ethanolamine (using 2-hydroxypyridine as a catalyst) in DMF solution at 40 °C for 1 day yielded PHEA DODASuc (0.2 g after dialysis and freeze-drying).

$^1\text{H-NMR}$  (DMSO- $d_6$ ) ( $\delta$  relative to solvent peak):

- 15 distearyl: 0.8 ( $\text{CH}_3$ ), 1.2 ( $\text{CH}_2$ ), 1.4 ( $\text{CH}_2\text{-N}$ )

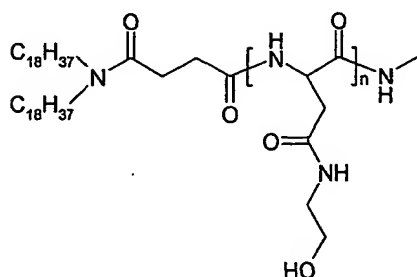
PHEA: 2.4-2.8 ( $\beta\text{-CH}_2$ ), 3.2 & 3.4 (hydroxyethyl), 4.6 ( $\alpha\text{-CH + OH}$ ), 7.8-8.5 (NH)

Calculated molecular weight: ca. 3000.

Maldi TOF confirms the molecular structure of the PHEA DODASuc conjugate:

$\text{Na}^+$ -adduct:  $m/z$  2084 ( $n=9$ ), 2243 ( $n=10$ ), 2401 ( $n=11$ ), 2559 ( $n=12$ ), 2718 ( $n=13$ ), 2876

- 20 ( $n=14$ ), etc.

**Example 15****Poly(2-hydroxyethyl)-L-asparagine DSPEsuc conjugate**

- 25 Amino-terminated PHEA was obtained after aminolysis of PBLA (polybenzyl-L-aspartate), obtained from the methylamine-initiated polymerization of benzyl-L-aspartate NCA. Succinylated DSPE (synthesis analogous to the one described for DPPE in JACS, 116, 8485 (1994)) was first converted to its NHS ester in-situ using DCC (dicyclohexylcarbodiimide):

A solution of 70 mg succinylated DSPE, 20 mg NHS (N-hydroxysuccinimide), 5 mg DMAP and 30 mg DCC (dicyclohexylcarbodiimide) in 2 ml dichloromethane was stirred for ca. 3-4 hours. To this mixture was added a solution of 0.13 g of the amino-terminated PHEA (molecular weight ca. 4000) in 2 ml DMSO. After stirring overnight the mixture was precipitated into ether. The precipitate was collected and dissolved in water and dialyzed (MWCO 500) for a few days. After freeze-drying ca. 80 mg PHEA-DSPE was obtained.

<sup>1</sup>H-NMR (DMSO-d<sub>6</sub>) (δ relative to solvent peak):

DSPE: 0.8 (CH<sub>3</sub>), 1.2 (CH<sub>2</sub>), 1.4 (CH<sub>2</sub>-N)

PHEA: 2.4-2.8 (β-CH<sub>2</sub>), 3.2 & 3.4 (hydroxyethyl), 4.6 (α-CH + OH), 7.8-8.4 (NH)

10 Calculated molecular weight: ca. 4000

### **Example 16**

#### **Poly(DL-serine) DODASuc**

O-benzyl-DL-serine N-carboxyanhydride (NCA):

15 A suspension of 2.5 g O-benzyl-DL-serine in 30 ml distilled (dry) THF containing ca. 10 ml of a 20 % phosgene solution in toluene was heated at 60-65 °C (stream of nitrogen gas over the solution). After ca. 5 minutes a clear solution was obtained. After ca. 1.5 hours the solution was slowly poured into ca. 100 ml n-hexane. The product separated as an oil. The solvent was decanted and the oil was dissolved in ca. 25 ml ethylacetate to which 100 ml  
20 hexane was slowly added. After violently shaking the flask and refrigerating at -20 °C O-benzyl-DL-serine NCA started to crystallize. Similar recrystallizations from ethylacetate/hexane and/or from chloroform/hexane yielded 2 g crystalline material.  
(Biopolymers 1976, 15(9) 1869-71)

<sup>1</sup>H-NMR (CDCl<sub>3</sub>) (δ relative to solvent peak):

25 benzyl group: 4.5 (CH<sub>2</sub>), 7.2 (Phenyl)

serine NCA: 3.7 (β-CH<sub>2</sub>), 4.4 (α-CH), 5.8 (NH)

poly(O-benzyl-DL-serine):

To a solution of 0.9 g O-benzyl-DL-serine NCA in 2.5 ml DMF was added 0.08 ml of a  
30 solution of 2 M methylamine in THF. After stirring for several hours at room temperature the solution became cloudy and viscous. After 1 day the viscous, "crystallized" solution was mixed with methanol/water to precipitate the polymeric product completely. Yield: 0.6 g polymeric product poly(O-benzyl-DL-serine).

$^1\text{H-NMR}$  in DMSO- $d_6$  ( $\delta$  relative to solvent peak):

benzyl groups: 4.4 ( $\text{CH}_2$ ), 7.2 (Phenyl)

polyserine: 3.5 ( $\beta\text{-CH}_2$ ), 4.7 ( $\alpha\text{-CH}$ ), 8.2 (NH)

5 Poly(O-benzyl-DL-serine) DODASuc:

150 mg N-succinyl-dioctadecylamine (DODASuc), 80 mg DCC and 5 mg DPTS were dissolved in 4 ml chloroform. The solution was stirred for 1 hour at room temperature. A solution of 0.6 g poly(O-benzyl-DL-serine) and ca. 50  $\mu\text{l}$  triethylamine in ca. 5 ml chloroform was added. After stirring overnight at room temperature the solution (containing  
10 dicyclohexylurea precipitate) was added dropwise to an excess of methanol (ca. 100 ml). The polymeric product was filtered off and dried. Yield: 0.4 g.

$^1\text{H-NMR}$  in DMSO- $d_6$  ( $\delta$  relative to solvent peak):

distearyl: 0.8 ( $\text{CH}_3$ ), 1.2 ( $\text{CH}_2$ ), 1.6 ( $\text{CH}_2\text{-N}$ )

benzyl groups: 4.4 ( $\text{CH}_2$ ), 7.2 (Phenyl)

15 polyserine: 3.5 ( $\beta\text{-CH}_2$ ), 4.7 ( $\alpha\text{-CH}$ ), 8.2 (NH)

Poly(DL-serine) DODASuc:

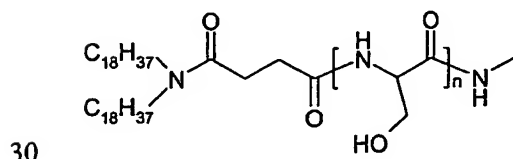
0.1 g poly(O-benzyl-DL-serine) DODASuc was dissolved in ca. 4 ml of a 33% HBr/AcOH solution and stirred for 1 hour. The solution was then precipitated into water. The polymeric  
20 precipitate was filtered off, washed with water, collected and subsequently dissolved (1-2 hours) in 4 ml 1M NaOH. The resulting solution was neutralized with 1 N HCl solution, and then dialyzed (MWCO 500) for several days. The dialyzed solution was freeze dried. Yield: 20 mg poly(DL-serine) DODASuc.

$^1\text{H-NMR}$  in DMSO- $d_6$  ( $\delta$  relative to solvent peak):

25 distearyl: 0.8 ( $\text{CH}_3$ ), 1.2 ( $\text{CH}_2$ ), 1.6 ( $\text{CH}_2\text{-N}$ )

polyserine: 3.6 ( $\beta\text{-CH}_2$ ), 4.3(OH), 5.0 ( $\alpha\text{-CH}$ ), 8.0 (NH)

From the ratio of integrals of the distearyl signals and the  $\alpha\text{-CH}$  signal the polyserine molecular weight was calculated to be ca. 1500.



**Example 17****Poly-L-threonine DODASuc**

The synthesis is analogous to the synthesis of poly(D,L-serine) DODASuc and was done via  
5 O-benzyl-L-threonine N-carboxyanhydride (NCA), starting from O-benzyl-L-threonine.HCl and phosgene.

Poly-L-threonine DODASuc(M = ca. 2000):

<sup>1</sup>H-NMR in DMSO-d<sub>6</sub> (δ relative to solvent peak):

distearyl: 0.8 (CH<sub>3</sub>), 1.2 (CH<sub>2</sub>), 1.6 (CH<sub>2</sub>-N)

10 polythreonine: 1.0 (CH<sub>3</sub>), 4.0 (β-CH), 4.3(α-CH), 5.0(OH), 7.8 (NH)

**Example 18****Poly(D,L-methionine sulfoxide) DODASuc**

DL-methionine N-carboxyanhydride (NCA):

15 A suspension of 2.5 g DL-methionine in 40 ml distilled (dry) THF containing ca. 15 ml of a 20 % phosgene solution in toluene was heated at 60-65 °C. (Stream of nitrogen gas over the solution.). Almost immediately a clear solution was formed. After ca. 1 hour the solution was slowly poured into ca. 140 ml n-hexane. DL-methionine NCA was crystallized at -20 °C (takes a few days). Recrystallization from ethylacetate/hexane yielded ca. 0.7 g crystalline  
20 material. (Biopolymers 1976, 15(9) 1869-71)

<sup>1</sup>H-NMR (CDCl<sub>3</sub>) (δ relative to solvent peak):

methionine NCA: 2.0-2.4 (β-CH<sub>2</sub> + CH<sub>3</sub>), 4.5(α-CH), 6.8 (NH)

poly(DL-methionine):

25 To a solution of 0.7 g DL-methionine NCA in 2.5 ml DMF was added 0.1 ml of a solution of 2 M methylamine in THF. After 1 day the cloudy solution was precipitated into ca. 100 ml methanol and subsequently dried. Yield: 0.33 g polymeric product poly (DL-methionine).

<sup>1</sup>H-NMR in CDCl<sub>3</sub>/TF-d (δ relative to solvent peak):

polymethionine: 2.0-2.3 (CH<sub>2</sub> & CH<sub>3</sub>), 2.6 (CH<sub>2</sub>), 4.7 (α-CH).

30

Poly(DL-methionine) DODASuc:

80 mg N-succinyl-dioctadecylamine (DODASuc), 45 mg DCC and 5 mg DPTS were dissolved in 2 ml chloroform. The solution was stirred for 1 hour at room temperature. A

solution of 0.33 g poly(DL-methionine) and ca. 20  $\mu$ l triethylamine in ca. 2.5 ml DMSO was added. After stirring overnight at room temperature the solution (containing dicyclohexylurea precipitate) was added dropwise to an excess of methanol (ca. 100 ml). The polymeric product was filtered off and dried. Yield: 0.22 g.

5  $^1\text{H-NMR}$  in DMSO- $d_6$  ( $\delta$  relative to solvent peak):

distearyl: 0.8 ( $\text{CH}_3$ ), 1.2 ( $\text{CH}_2$ ), 1.4 ( $\text{CH}_2\text{-N}$ )

polymethionine: 1.8 ( $\beta\text{-CH}_2$ ), 2.0 ( $\text{CH}_3$ ), 2.4 ( $\gamma\text{-CH}_2$ ), 4.4 ( $\alpha\text{-CH}$ ), 8.1 (NH)

Poly(DL-methionine sulfoxide) DODASuc:

10 mono-oxidation of poly(DL-methionine) DODASuc:

A solution of 0.3 g sodium periodate in 2 ml water was slowly added to a suspension of 0.22 g poly(DL-methionine) diaminobutane DODASuc in ca. 6 ml acetic acid. The resulting orange/red solution (which was formed after a few hours) was stirred for 1 night. Then ca. 15 ml water was added and the resulting orange/red solution was dialyzed (MWCO 500) for

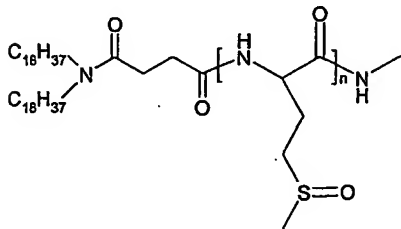
15 several days. After freeze-drying 0.25 g product was obtained.

$^1\text{H-NMR}$  in  $\text{D}_2\text{O}$  ( $\delta$  relative to solvent peak):

distearyl: 0.7 ( $\text{CH}_3$ ), 1.2 ( $\text{CH}_2$ ) (broad peaks)

polymethionine sulfoxide: 2.0 ( $\beta\text{-CH}_2$ ), 2.5 ( $\text{CH}_3$ ), 2.8 ( $\gamma\text{-CH}_2$ ), 4.3 ( $\alpha\text{-CH}$ ), 8.5 (NH)

Calculated molecular weight: ca. 4000.



20

### Example 19

#### Poly(DL-glutamine) DODASuc

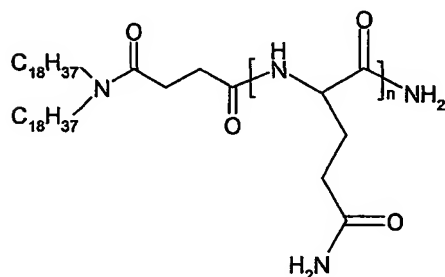
A poly(DL-glutamine) DODASuc conjugate was synthesized by Ansynth Service B.V. using a solid phase peptide synthesis method (ca. 50 mg scale). Poly(DL-glutamine) ( $n=20$ ) bound to a resin was built up step by step using Fmoc-protected aminoacids. To the N-terminus was coupled N-succinyl-distearylamine. The C-terminus was transformed to an amide.  $^1\text{H-NMR}$  spectrum confirmed the structure.

$^1\text{H-NMR}$  in DMSO- $d_6$  ( $\delta$  relative to solvent peak):



distearyl: 0.8 (CH<sub>3</sub>), 1.2 (CH<sub>2</sub>), 1.4 (CH<sub>2</sub>-N)

polyglutamine: 1.7 - 2.2 (β,γ-CH<sub>2</sub>), 4.2 (CH), 6.8 & 7.3 (NH<sub>2</sub>), 8.2 (NH)



5

### **Example 20**

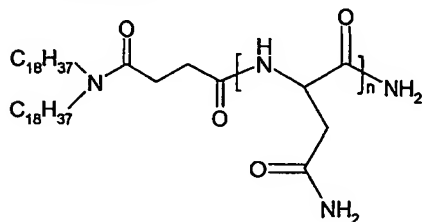
#### **Poly(DL-asparagine) DODASuc**

A poly(DL-asparagine) DODASuc conjugate was synthesized by Ansynth Service B.V. using a solid phase peptide synthesis method starting from Fmoc-protected aminoacids (ca. 50 mg scale). Poly(DL-asparagine) (n=20) bound to a resin was built up step by step. To the N-terminus was coupled N-succinyl-distearylamine. The C-terminus was transformed to an amide. <sup>1</sup>H-NMR spectrum recorded in DMSO confirmed the structure.

<sup>1</sup>H-NMR in DMSO-d<sub>6</sub> (δ relative to solvent peak):

distearyl: 0.8 (CH<sub>3</sub>), 1.2 (CH<sub>2</sub>), 1.4 (CH<sub>2</sub>-N)

polyasparagine: 2.5 (CH<sub>2</sub>), 4.5 (CH), 7.0 & 7.4 (NH<sub>2</sub>), 8.1 (NH)



### **Example 21**

#### **Poly(D,L-alanine) DODASuc**

95 mg poly-DL-alanine (Sigma; MW: ca. 2000) was dissolved in 4 ml DMSO. 40 μl triethylamine was added to this solution. Subsequently, this solution was added to a solution of 0.1 g DODASuc, 70 mg DCC and 5 mg DPTS in 2 ml chloroform that has been stirred for 1 hour. After stirring for 1 day the mixture was precipitated into a methanol/diethylether mixture. The precipitate was filtered off and dried.

<sup>1</sup>H-NMR recorded in DMSO:

Distearyl: 0.8 (CH<sub>3</sub>), 1.2 (CH<sub>2</sub>), 1.4 (CH<sub>2</sub>N)

Polyalanine: 1.2(CH<sub>3</sub>), 4.2 (CH), 8.0 (NH).

5 **Example 22**

**Copolypeptide of β-alanine and hydroxyethyl L-glutamine**

DODASuc-(β-Ala)<sub>3</sub>-Glu(OBzl)-(β-Ala)<sub>4</sub>-Glu(OBzl)-(β-Ala)<sub>3</sub>-Glu(OBzl)-(β-Ala)<sub>2</sub>-NH<sub>2</sub>.

Copolypeptide of β-alanine and benzyl L-glutamate was synthesized via a solid phase method by Ansynth Service B.V. starting from Fmoc-protected monomers

10 C-terminus: amide; N-terminus: DODASuc.

DODASuc-(β-Ala)<sub>3</sub>-HEG-(β-Ala)<sub>4</sub>-HEG-(β-Ala)<sub>3</sub>-HEG-(β-Ala)<sub>2</sub>-NH<sub>2</sub>

Afterwards the benzyl glutamate units were converted to hydroxyethyl glutamine (HEG) ones by an aminolysis (ethanolamine) reaction carried out in DMF.

<sup>1</sup>H-NMR recorded in DMSO revealed absence/conversion of benzyl groups.

15

**Example 23**

**Preparation of PHEG-stearylamine-containing liposomes**

33.8 mg of egg phosphatidylcholine (EPC) (Lipoid Ludwigshafen), 9.67 mg of cholesterol (Sigma Aldrich) and 30.0 mg of poly-[N-(2-hydroxyethyl)-L-glutamine]-stearylamine (PHEG-stearylamine) (synthesised) were weighed and transferred in a 50 ml round-bottom flask. 500 kBq of tritium-labeled cholesteryl oleylether was added as a lipid marker. The lipids and the label were dissolved in about 10 ml of ethanol. Thereafter evaporating to dryness in a Rotavapor during 1 hour under vacuum at 40°C, followed by flushing with nitrogen gas during 1 hour took place.

25 PBS was added to the dry lipid film and shaken during one hour in the presence of glass beads in order to enable complete hydration of the lipid film.

The liposomal suspension was transferred to an extruder (Avestin, maximum volume 15 ml) and extruded under pressure, using nitrogen gas, 6 times through 2 polycarbonate filters one placed on top of the other, having a pore size of 200 and 100 nm respectively, and 18 times through filters having a pore size of 100 nm and 50 nm respectively. Subsequently the liposomal suspension was dialysed in a dialysing compartment (Slide-A-Lyzer, 10,000 MWCO) 2 times during 24 hours against 1 liter of sterilised PBS.

The mean particle size of the liposomes was determined by means of light scattering (Malvern Zeta-sizer) and was found to be  $93.6 \pm 0.9$  nm, the polydispersity index being  $0.099 \pm 0.02$ . The lipid loss during preparation of the liposomes was 25 %, determined by comparing the final radioactivity of the preparation with the activity before the extrusion procedure. The suspension of liposomes was stored in a nitrogen atmosphere at 4°C.

#### **Example 24**

##### **Preparation of further lipid-polymer-conjugates-containing liposomes**

Liposomes were prepared using the film method, as described in example 23. Instead of egg phosphatidylcholine dipalmitoyl phosphatidylcholine was used. 5 mM HEPES buffer was added to the dry lipid film and shaken during 5 minutes in the presence of glass beads in order to enable complete hydration of the lipid film. The liposomes were sized by extrusion 12 times through 2 stacked PC membranes having pore sizes of 100 and 200 nm. The resulting liposome dispersions were dialysed (MWCO 10,000) and average particle sizes were determined using dynamic light scattering technique. See table 1 for the properties of the liposomal preparations.

#### **Example 25**

##### **Comparative kinetics of $^3\text{H}$ -labelled polymer-lipid-conjugates incorporated into liposomes after a single intravenous injection to the rat**

Male rats (Wistar, Crl: (WI) BR (outbred, SPF-Quality) (Charles River, Sulzfeld, Germany)) had free access to standard pelleted laboratory animal diet (Altromin, code VRF 1, Lage, Germany) and to tap-water. Single-dose intravenous injection of liposomal preparations, each containing  $^3\text{H}$ -labelled cholesteryloleylether (Amersham) having 40-50 kBq of radioactivity, (compositions per 50  $\mu\text{mol}$  lipid are shown in Table 1) was given into the tail-vein. Total Lipid administered was 5  $\mu\text{mol}$ , except in the cases indicated.

Blood samples were collected from the tail vein of each rat at the following time points post-dose: 5 minutes and 4, 24 and 48 hours. The amount of sample collected was approx. 300  $\mu\text{l}$  per sampling event.

Sampled blood was transferred into heparinised tubes and stored at -20 °C.

A single aliquot of 100  $\mu\text{l}$  was solubilised according to the following method:

- 100  $\mu\text{l}$  was transferred to a scintillation vial (20 ml).

- 100 µl of Solvable was added. This was incubated for at least 1 hour.
- 100 µl of 1 mM EDTA and 200 µl H<sub>2</sub>O<sub>2</sub> 30% were added. This mixture was incubated for 24 hours at room temperature and overnight at 50 °C thereafter.
- Ultima Gold (10 ml) was added as the scintillation fluid.
- 5 ◦ Radioactivity was measured by LSC.

All radioactive measurements were performed using a Packard scintillation counter (1900TR). Counting time was to a statistical precision of  $\pm 0.2\%$  or a maximum of 5 minutes, whichever comes first. The Packard 1900TR was programmed to automatically  
10 subtract background and convert counts per minute (CPM) to disintegrations per minute (DPM).

For some of the preparations mentioned the liver and spleen of the rats were dissected 48 hours after injection and liposomes localisation was assessed according to the following  
15 method:

The organs were homogenised and the homogenates diluted to 25 ml (liver) or 5 ml (spleen). 1 ml of the homogenates was transferred to scintillation vials to which subsequently were added:

- 200 ml Solvable (mixed and sample incubated at 50 °C overnight)
- 20 ◦ 200 ml 0.5 M EDTA solution
- 250 ml of H<sub>2</sub>O<sub>2</sub> (30%) solution (incubated at 50 °C overnight)
- 10 ml Ultima Gold scintillation liquid (vortexed and sample incubated for 24 hours).

Thereafter the samples were counted in a beta-scintillation counter for 10 minutes. Results for some liposomal preparations are shown in Figure 6.

25 The compositions of the liposomal preparations, prepared according to Example 24 and the results, obtained in the in vivo test of this example, are shown in Table 1. The increase of blood circulation time was assessed, wherein:

- Good means effect on circulation time comparable to that shown by PEG-DSPE-containing liposomes.
- 30 ◦ Moderate means effect on circulation time in between those shown by PEG-DSPE-containing liposomes and bare liposomes without polymer coating.

- Slightly means effect on circulation time under the current conditions almost similar to that shown by bare liposomes.

Table 1

5 Composition of liposomes per 50  $\mu$ mol lipid and properties

DPPC (mg)	Cholesterol (mg)	Lipid-Polymer-conjugate of (mg)	Average particle size (nm)	Polydispersity index	Increase of blood circulation time
23.1	6.4	Ex. 2: 15	$134 \pm 0.5$	0.100	Slightly
23.1	6.4	Ex. 6: 10	$153 \pm 0.5$	0.056	Good
23.1	6.4	Ex. 5: 30	$143 \pm 2$	0.205	Moderate
23.1	6.4	Ex. 8.1: 11.2	$140.3 \pm 2.2$	0.090	Good
23.1	6.4	Ex. 8.2: 13.8	$153.0 \pm 0.9$	0.090	Moderate
23.1	6.4	Ex. 8.4: 11.2	$148.2 \pm 1.7$	0.071	Slightly
23.1	5.5	Ex. 9: 11.0	$138.7 \pm 1.8$	0.116	Slightly
23.1	6.4	Ex. 14: 8.9	$146.0 \pm 2.1$	0.092	Good
23.1	6.4	Ex. 14: 13.8	$147.0 \pm 1.1$	0.068	Good
23.1	6.4	Ex. 14: 8.9	$141.7 \pm 2.3$	0.044	Good
23.1	6.4	Ex. 8.2: 11.2	$163.9 \pm 3.0$	0.068	Moderate
23.1	6.4	Ex. 23: 2.2	$171.6 \pm 2.5$	0.167	Slightly
23.1	6.4	Ex. 11: 10.1	$180.7 \pm 6.1$	0.113	Slightly

DPPC (mg)	Cholesterol (mg)	Lipid-Polymer-conjugate of (mg)	Average particle size (nm)	Poly-dispersity index	Increase of blood circulation time
23.1	6.4	Ex. 8.1: 11.2	$159.0 \pm 3.8$	0.073	Good §
23.1	6.4	Ex. 14: 8.9	$152.9 \pm 3.4$	0.050	Good §
23.1	6.4	Ex. 12: 11.2	$170.3 \pm 2.5$	0.039	Moderate
23.1	6.4	Ex. 12: 2.24 + Ex. 8.1: 8.96	$166.0 \pm 0.9$	0.056	Moderate
23.1	6.4	Ex. 11: 10.1	$167.7 \pm 0.6$	0.170	Slightly

DPPC (mg)	Cholesterol (mg)	Lipid-Polymer- conjugate of (mg)	Average particle size (nm)	Poly- dispersity index	Increase of blood circu- lation time
23.1	6.4	Ex. 18: 11.2	159.9 ± 2.3	0.062	Good
23.1	6.4	Ex. 16: 5.0	162.7 ± 1.6	0.159	Slightly
23.1	6.4	Ex. 19: 8.8	156.3 ± 3.2	0.059	Moderate
25.0	6.4	Ex. 20: 8.8	164.7 ± 4.0	0.116	Moderate
23.1	6.4	Ex. 10: 8.8	159.0 ± 3.8	0.073	Slightly
23.1	6.4	Ex. 22: 8.8	152.9 ± 3.4	0.050	Moderate
23.1 *	6.4*	Ex. 14: 8.8*	167.7 ± 0.6	0.170	Good
23.1 **	6.4**	Ex. 14: 8.8**	149.9 ± 2.3	0.062	Good
23.1 ***	6.4***	Ex. 14: 8.8***	162.7 ± .024	0.159	Slightly
23.1	6.4	PEG-DSPE: 6.9	156.3 3.2	0.059	Good
23.1*	6.4*	PEG-DSPE: 8.8*	170.3 2.5	0.039	Good
23.1**	6.4**	PEG-DSPE: 6.9**	166.0 0.9	0.056	Good
23.1***	6.4***	PEG-DSPE: 6.9***	170.5 0.3	0.110	< Slightly

\* : Total Lipid administered 0.5 µmol (see Figures 1 and 2)

\*\* : Total Lipid administered 0.05 µmol (see Figures 1 and 2)

\*\*\*: Total Lipid administered 0.005 µmol (see Figures 1 and 2)

§: when a second injection (TL 1 µmol) was given after 1 week, a reduction was seen in the circulation time for liposomes, containing the lipid-polymer-conjugate of example 8.1. The liposomes, containing the conjugate of example 14, also showed such reduction, but for 2 animals this effect was observed to be moderate.

### Example 26

#### 10 Preparation of liposomes containing a lipid-polymer-conjugate and prednisolone phosphate

750 mg of dipalmitoyl phosphatidylcholine (DPPC) (Lipoid Ludwigshafen), 220.0 mg of cholesterol (Sigma Aldrich) and 270.0 mg of the lipid-polymer conjugate of example 14 and 750 mg of dipalmitoyl phosphatidylcholine, 250.8 mg of cholesterol and 267.6 mg of PEG-distearoylphosphatidylethanol-amine (PEG-DSPE) (Avanti Polar Lipids) respectively were

weighed and mixed in a 100 ml round-bottom flask. The lipids were dissolved in about 30 ml of a 1:1 mixture of methanol and chloroform (lipid-polymer-conjugate of example 14) or ethanol (PEG-DSPE). Thereafter evaporating to dryness in a Rotavapor during 1 hour under vacuum at 40°C, followed by flushing with nitrogen gas during 1 hour took place.

- 5 1200 mg of prednisolon disodium phosphate (PLP) (OPG Nieuwegein) were weighed and dissolved in 12 ml of sterilised PBS. The solution was added to the dry lipid films and shaken during one hour in the presence of glass beads in order to enable complete hydration of the lipid films.

- 10 The liposomal suspensions were transferred to an extruder (Avestin, maximum volume 15 ml) and extruded under pressure, using nitrogen gas, 6 times through 2 pore filters one placed on top of the other, having a pore size of 200 and 100 nm respectively, 100 and 50 nm respectively and 50 and 50 nm respectively. Subsequently the liposomal suspensions were dialysed in a dialysing compartment (Slide-A-Lyzer, 10.000 MWCO) 2 times during 24 hours against 1 liter of sterilised PBS.

- 15 The mean particle size of the liposomes was determined by means of light scattering (Malvern Zeta-sizer) and was found to be about 85 and 90 nm respectively, the polydispersity index being < 0.1. The encapsulation efficiency of the prednisolone phosphate was determined by means of a HPLC method and was found to be 2.6%. The suspensions of liposomes were stored in a nitrogen atmosphere at 4°C and found to be stable for at least 5  
20 weeks, wherein the liposomal preparations, containing the lipid-polymer-conjugate of example 14 performed slightly better than the liposomal preparations, containing the reference lipid-polymer-conjugate PEG-DSPE (see figure 3).

### **Example 27**

- 25 **Assessment of circulation time and therapeutic efficacy in rat adjuvant arthritis model of prednisolone phosphate-containing liposomal formulations**

- Lewis rats were immunised subcutaneously at the tail base with heat-inactivated *Mycobacterium tuberculosis* in incomplete Freund's adjuvant. Paw inflammation started between 9 and 12 days after immunization, reached maximum severity approximately after 20  
30 days, and then gradually resolved.

Assessment of the disease was performed by visually scoring paw inflammation severity from day 10 until day 35 after immunisation. When paw inflammation scores were about to reach

values halfway the maximal score (day 14-15), all rats were divided in groups of 5 with equal average scores and treated with a single intravenous injection of:

1. 10 mg/kg PLP in PHEA- DODASuc liposomes, as prepared according to example 26 or
2. 10 mg/kg PLP in PEG-DSPE liposomes, as prepared according to example 26 (reference)

5 or

3. PBS (control).

At  $t=0$ , 24 and 48 hours blood samples were collected and assayed for the plasma concentration of liposomal PLP.

The circulation behavior of both PHEA- and PEG-liposomes in blood is shown by the  
10 plasma concentration profiles of PLP, which are depicted in the figure 4 Both liposome types perform equally well concerning circulation half-life.

Figure 5 shows the therapeutic activity in rat adjuvant arthritis of 10 mg/kg PLP-PHEA- and 10 mg/kg PLP-PEG-liposomes versus saline-treated rats as controls.

15



CLAIMS

1. Colloidal carrier composition, comprising an active agent and a lipid-polymer  
5 conjugate, obtainable from an amphiphilic lipid, consisting of at least one hydrophobic apolar moiety and a hydrophilic polar head group, and a polymer or a monomeric precursor therefor, characterised in that the polymer is a poly-(amino acid), a poly-(amino acid derivative) or a poly-(amino acid analogue) and that the lipid-polymer-conjugate provides long-circulating properties to the colloidal carrier composition.
- 10 2. Composition according to claim 1, characterised in that the colloidal carrier is a vesicular system.
3. Composition according to claim 1 or 2, characterised in that the amphiphilic lipid is  
15 selected from the group consisting of phospholipids, glycolipids, ceramides, cholesterol and derivatives, saturated or unsaturated, branched or straight chain C<sub>8</sub>-C<sub>50</sub> mono- or di-alkylamines, arylalkylamines, cycloalkylamines, alkanols, aldehydes, carbohalides or alkanolic acids and the anhydrides thereof.
- 20 4. Composition according to claim 3, characterised in that the amphiphilic lipid contains at least two hydrophobic apolar moieties.
5. Composition according to claim 4, characterised in that the amphiphilic lipid is  
25 selected from the group consisting of 1-heptadecyloctadecylamine, N-succinyldioctadecylamine and distearylphosphatidylethanolamine.
6. Composition according to any of the preceding claims, characterised in that the polymer has a  $\chi$ -parameter of  $\leq 0.65$ , preferably  $\leq 0.5$  in water.
- 30 7. Composition according to any of the preceding claims, characterised in that the polymer contains no substantial amount of charged groups within a physiological pH of 4-8.

8. Composition according to claim 7, characterised in that the polymer consists of amino acid monomers, amino acid analogue monomers or amino acid derivative monomers, that are neutral or that have been neutralised at a physiological pH of 4-8.
- 5 9. Composition according to any of the preceding claims, characterised in that the polymer consists of  $\alpha$ -amino acids and derivatives or analogues thereof.
- 10 10. Composition according to any one of the preceding claims, characterised in that the polymer has a molecular weight between 500 and 75,000, preferably between 2,000 and 15,000.
11. Composition according to any one of the preceding claims, characterised in that the polymer is biodegradable.
- 15 12. Composition according to claim 1, characterised in that the polymer is poly[N-(2-hydroxyethyl)]-L-glutamine.
13. Composition according to claim 1, characterised in that the polymer is poly(2-hydroxyethyl)-L-asparagine.
- 20 14. Composition according to claim 1, characterised in that the polymer is poly(D,L-methionine sulfoxide).
- 25

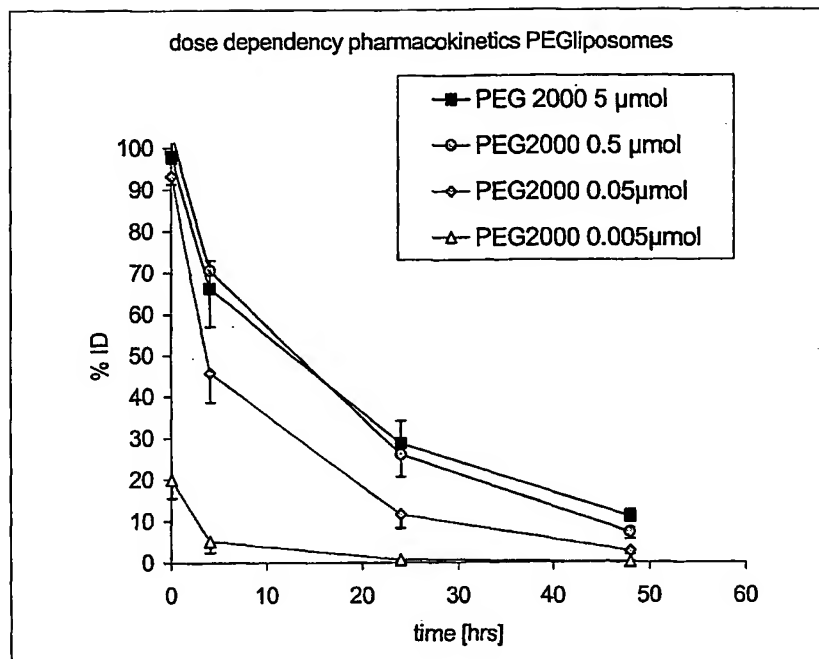
**Fig. 1**

Fig. 2

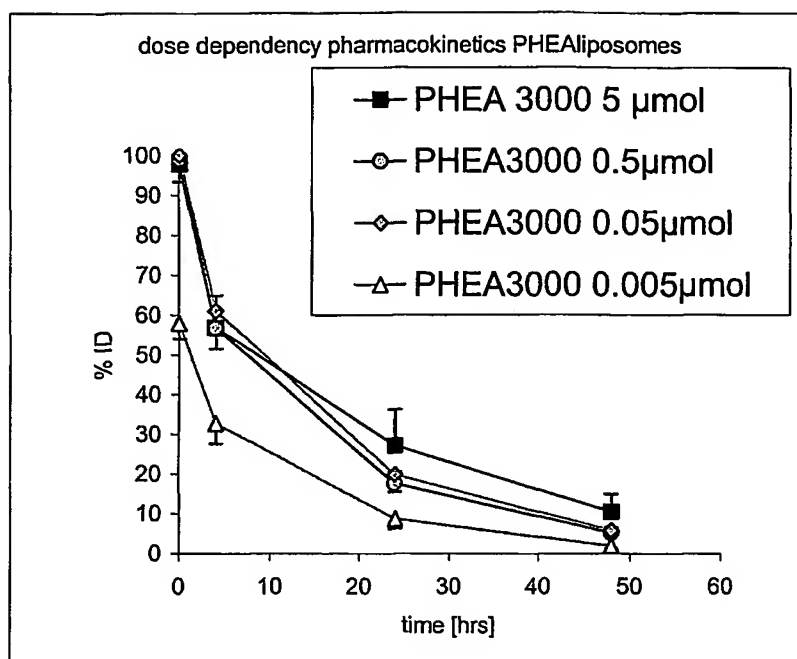
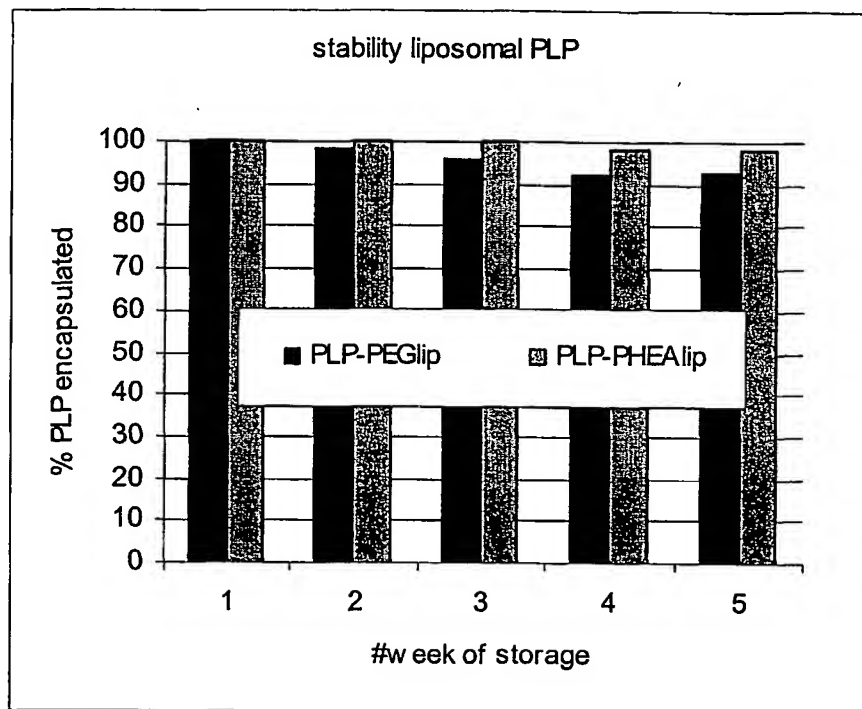


Fig. 3



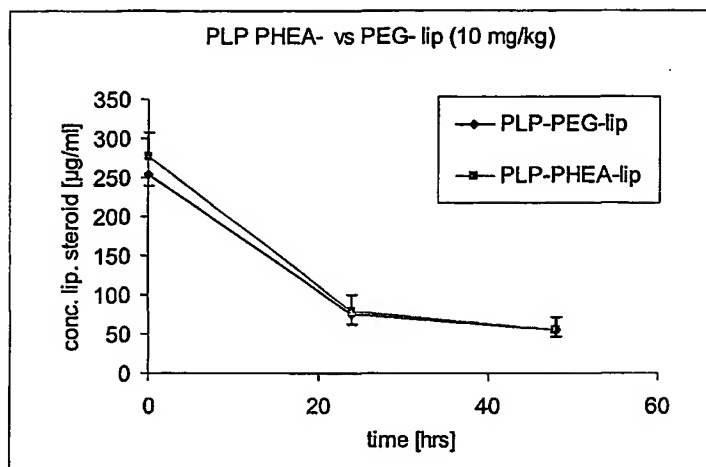
**Fig. 4**

Fig. 5

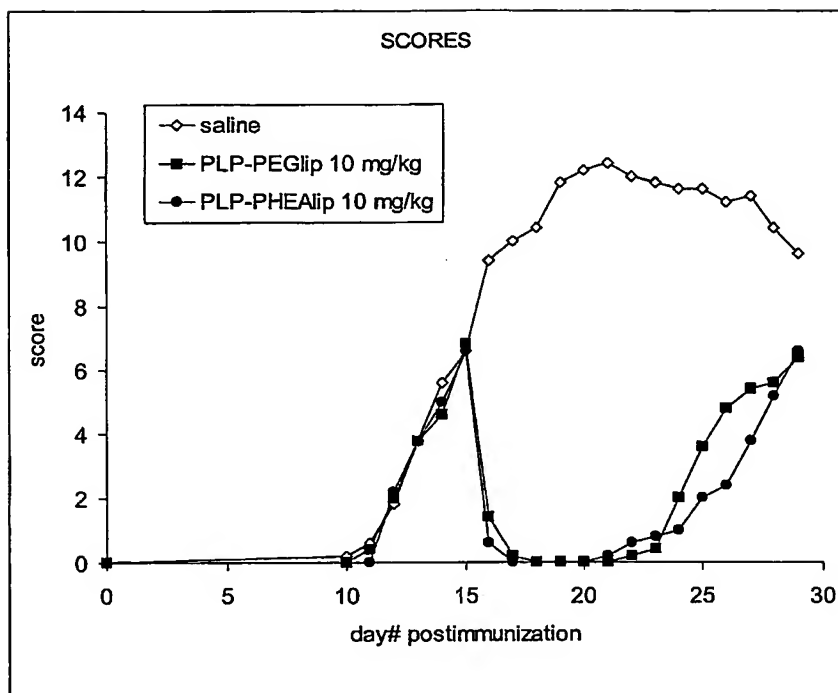
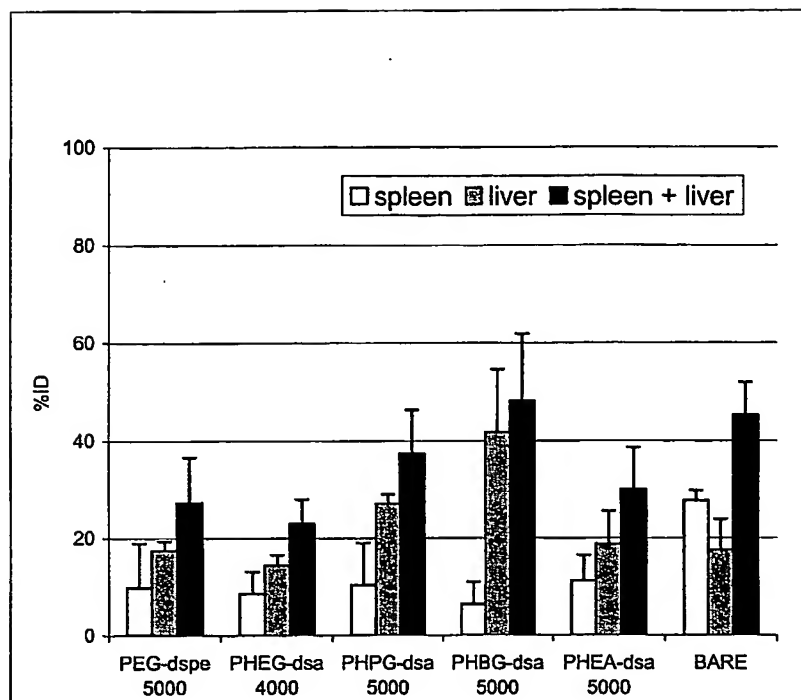


Fig. 6





## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/EP 02/06783

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 C08G69/10 A61K9/127

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C08G A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, CHEM ABS Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	ZHOU X ET AL: "LIPOPHILIC POLYLYSINES MEDIATE EFFICIENT DNA TRANSFECTION IN MAMMALIAN CELLS" BIOCHIMICA ET BIOPHYSICA ACTA. BIOMEMBRANES, AMSTERDAM, NL, vol. 1065, no. 1, 31 May 1991 (1991-05-31), pages 8-14, XP000197616 ISSN: 0005-2736 the whole document --- -/-	1-4,6-11

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents:

\*A\* document defining the general state of the art which is not considered to be of particular relevance

\*E\* earlier document but published on or after the International filing date

\*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

\*O\* document referring to an oral disclosure, use, exhibition or other means

\*P\* document published prior to the International filing date but later than the priority date claimed

\*T\* later document published after the International filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

\*G\* document member of the same patent family

Date of the actual completion of the international search

6 November 2002

Date of mailing of the International search report

19/11/2002

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax (+31-70) 340-3016

Authorized officer

Boulois, D

## INTERNATIONAL SEARCH REPORT

Int'l Application No  
PCT/EP 02/06783

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	ZHOU X. ET AL: "DNA transfection mediated- by cationic liposomes containing lipopolylysine: characterization and mechanism of action" BIOCHIMICA ET BIOPHYSICA ACTA, vol. 1189, 1994, pages 195-203, XP001039665 Amsterdam, NL the whole document ----	1-4,6-11
X	WO 94 20073 A (LIPOSOME TECHNOLOGY INC) 15 September 1994 (1994-09-15) cited in the application page 3, line 23 - line 34 page 29 -page 30; example 3 claim 1 ----	1-4,6-8, 10,11
A	SHIBATA A ET AL: "PREPARATION AND CHARACTERIZATION OF LIPOSOMES INCORPORATING HYDROPHOBIC POLY(AMINO ACID)S WITH DIFFERENT SECONDARY STRUCTURE" CHEMICAL AND PHARMACEUTICAL BULLETIN, PHARMACEUTICAL SOCIETY OF JAPAN. TOKYO, JP, vol. 42, no. 5, 1 May 1994 (1994-05-01), pages 1151-1153, XP000466176 ISSN: 0009-2363 the whole document ----	1
X	WO 99 61512 A (UCHEGBU IJEOMA FLORENCE ;UNIV STRATHCLYDE (GB)) 2 December 1999 (1999-12-02) page 4, line 1 - line 4 page 5, line 7 - line 18 page 6, line 8 - line 19 page 9 -page 15; examples -----	1-4,6-11

# INTERNATIONAL SEARCH REPORT

Information on patent family members

In International Application No  
PCT/EP 02/06783

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 9420073	A	15-09-1994	US 5395619 A	07-03-1995
			AT 162710 T	15-02-1998
			AU 6357594 A	26-09-1994
			CA 2156901 A1	15-09-1994
			DE 69408304 D1	05-03-1998
			DE 69408304 T2	20-08-1998
			DK 688207 T3	06-04-1998
			EP 0688207 A1	27-12-1995
			ES 2114186 T3	16-05-1998
			JP 3066437 B2	17-07-2000
			JP 8507523 T	13-08-1996
			WO 9420073 A1	15-09-1994
			US 5631018 A	20-05-1997
WO 9961512	A	02-12-1999	EP 1084172 A1	21-03-2001
			WO 9961512 A1	02-12-1999